



Carbon emissions study in the European Straits of the PASSAGE project

Final Report

Prepared for Département du Pas-de-Calais and the partners of the PASSAGE Project



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Introduction

1. Context of this study

a) PASSAGE Project



The PASSAGE (Public Authorities Supporting low-cArbon Growth in European maritime border regions) project¹ involves 6 straits, with 11 partners from 8 countries.

It emerged from the European Strait Initiative (ESI)² and is co-financed by the ERDF in the framework of the Interreg Europe programme. The European Straits Initiative was launched in 2009 by Pas-de-Calais County Council and Kent County Council and now includes 24 public authorities in Europe. Experiencing the features of a strait territory and the related human, social, economic and environmental challenges, their aim is to bring together strait territories and to structure projects along their common issues.

Even if straits have apparent basic similarities (a stretch of water that is surrounded by two coastal areas which are linked to their proper hinterlands), each side of a strait might have different carbon intensive economic activities and are often subject to different governance and laws as they are from different countries.

As a result of these area features, cross-border cooperation is required. Following the "Network Of STRAits" (NOSTRA) project, launched in 2012, which aimed to reinforce the governance tools supporting sustainable development, the 2016-2020 PASSAGE project is the second strait-related project to be supported by the INTERREG Europe programme (formerly Interreg IV C), and is dedicated to the development of low-carbon solutions in the straits areas.

At the request of the Pas-de-Calais County Council, a carbon study was launched in January 2017 in order to support the preparation of action plans at strait level by the partners of the PASSAGE Project.

b) Straits and climate change

Straits have unique geographies, and are characterised by diversified and specific economic activities, including transportation, industrial activities, tourism, services and manufacturing. Straits are important centres of communication, commerce and culture.

¹ www.interregeurope.eu/passage

² www.europeanstraits.eu





Straits include cities with an important population living on coastlines, and thus particularly vulnerable to global environmental change, such as rising sea levels and coastal storms. Additionally, all these economic activities may be a significant, and growing, sources of energy consumption and account for a significant percentage of greenhouse gas (GHG) emissions. This may include not only GHG emissions from "land based" activities (ports, industries, cities, tourism), but also "sea based" activities, such as domestic or international maritime transportation. Therefore, straits may play an important role in tackling climate change and responding to climate impacts, bringing an integrated management approach, considering marine areas and hinterlands, on both sides of the strait.

As for cities, strait's ability to take effective action on mitigating climate change and monitoring progress, begins with developing a GHG inventory; a "carbon study". Such an inventory will first enable straits to understand the main emissions contribution of different activities taking place at strait level. It may then allow straits to determine where to best direct mitigation efforts, where to best consolidate partnerships with key stakeholders, and finally create a strategy to reduce GHG emissions.

Nevertheless, it is worth noting that state, regional, city and company level inventories are mainly carried out because of legally binding obligations. Voluntary initiatives to account for and disclose GHG emissions are quite new practices, such as *The Covenant of Mayors for Climate and Energy* or the *World Port Climate Initiative* (WPCI). Very few studies have been carried out at strait level, and it is worth mentioning that the governance of these areas is often complex, because it involves multiple levels and responsibilities, as well as administrative and cultural divisions that may hinder the implementation of common action at a strait level.

2. Objectives

The aim of this "carbon study" is to provide a first review of knowledge, experience and requirements in terms of GHG emissions at a strait level, to enable partners of the PASSAGE project, as well as relevant stakeholders, to define the strategic direction for the better integration of "low carbon measures" in these straits.

In addition, to ensure credible reporting and good consistency in GHG accounting, this study proposes a framework on how emissions sources are defined and categorised, and a specific methodology for calculating and reporting GHG emissions and scientific studies (that are built on existing methodologies), related to the definition of a strait. A detailed methodology is provided in the Annexes.

In this study a total of 6 European straits have been involved: Dover / Pas de Calais Strait, Strait of Otranto, Strait of Corfu, Gulf of Finland's Strait, Fehmarn Belt, and Corsica Channel.





3. Overview of the general approach

This carbon study has been designed by the project team, in agreement with Pas de Calais County Council and all participating PASSAGE partners. The study was carried out with the following steps.

a) Step 1: Understanding of the local context

During the first step of this study, the project team carried out a review of all the documents and information provided by PASSAGE partners. This desk review helped the project team to better understand the various local situations and to start identifying the main characteristics of each strait. For instance, Pas-de-Calais County Council provided a report presenting the GHG inventory carried out by the "Société d'exploitation des Ports du Détroit", which is the unique operator for the ports of Calais and Boulogne-sur-Mer (see, for example, the charts below illustrating the GHG emissions in 2011 and 2014 for the port of Calais, in tons of CO₂ equivalent).

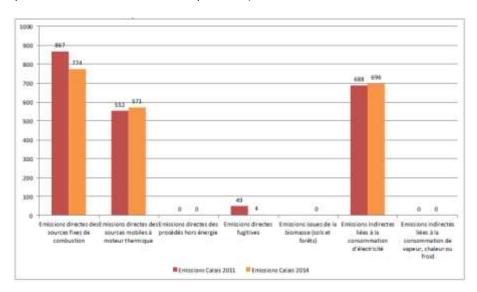


Figure 1 – Comparison of GHG emissions per sector in the Port of Calais, in 2011 and 2014 (Source: Bilan des émissions de gaz à effet de serre, Société d'exploitation des Ports du Détroit, 2016)

Other relevant reports reviewed by the project team are those prepared under the "NOSTRA" project, which were very useful in strengthening the general level of knowledge on each strait. Following this desk review, the project team organised telephone interviews with the PASSAGE partners, using a preliminary questionnaire intended to identify the primary stakeholders to involve at strait level, and to gather initial information regarding the analysis of the specificities of each strait. Additionally, the first theoretical concepts were presented to the local partners so that they could also better understand the need for additional information and prepare to send inquiries to other relevant agencies for data collection. The table below presents the members of the PASSAGE project that have been interviewed by the project team.

Table 1 - List of the PASSAGE project stakeholders interviewed

	Strait	Organisation
Liz FAGG	Dover / Pas de Calais strait	Port of Dover
Doug KEMPSTER		





Heidi SKINNER Dover / Pas de Calais strait Freight Transport Association - FTA Antoine SURGET Colette MARIE Marc HAERINCK Dover / Pas de Calais strait Mathieu SAMARCQ Mathieu SAMARCQ Mathieu SAMARCQ Mathieu SAMARCQ Femharn Belt Elina LOMPERI Kaarina RAUTIO Jesper BILLE Horst WEPPLER Anila HITAJ Otranto/Corfu Nikolaos PETROPOULOS Innopolis Innopolis Innopolis Innopolis Innopolis			
Colette MARIE Marc HAERINCK Dover / Pas de Calais strait Grand Port Maritime de Dunkerque Mathieu SAMARCQ Karine SELLIER Elina LOMPERI Kaarina RAUTIO Jesper BILLE Horst WEPPLER Anila HITAJ Mirela KOCI Nikolaos PETROPOULOS Over / Pas de Calais strait Eurotunnel Eurotunnel Eurotunnel Eurotunnel Feurotunnel Feurotunnel Feurotunnel Eurotunnel Feurotunnel Eurotunnel Feurotunnel Feurotunnel Feurotunnel Feurotunnel Feurotunnel Eurotunnel Feurotunnel Feurotunnel Feurotunnel Feurotunnel Kreis Ostholstein Alleda AULEDA Innopolis	Heidi SKINNER	Dover / Pas de Calais strait	•
Marc HAERINCK Dover / Pas de Calais strait Grand Port Maritime de Dunkerque Mathieu SAMARCQ Karine SELLIER Elina LOMPERI Kaarina RAUTIO Jesper BILLE Horst WEPPLER Anila HITAJ Mirela KOCI Nikolaos PETROPOULOS Dover / Pas de Calais strait Grand Port Maritime de Dunkerque Eurotunnel Eurotunnel Eurotunnel Feurotunnel Furotunnel Furotunnel Feurotunnel Feurotunnel Furotunnel Feurotunnel Feurotunnel Feurotunnel Feurotunnel Feurotunnel Kelsinki-Uusimaa Regional Council Femern Belt Development Kreis Ostholstein AULEDA Innopolis	Antoine SURGET	Dover / Pas de Calais strait	Pas-de-Calais County Council
Mathieu SAMARCQ Karine SELLIER Elina LOMPERI Kaarina RAUTIO Jesper BILLE Horst WEPPLER Anila HITAJ Nikolaos PETROPOULOS Dunkerque Eurotunnel Eurotunnel Eurotunnel Eurotunnel Eurotunnel Feurotunnel Eurotunnel Feurotunnel Eurotunnel Feurotunnel Eurotunnel Feurotunnel Feurotunnel Feurotunnel Feurotunnel Eurotunnel Feurotunnel Feurotunnel Feurotunnel Feurotunnel Kreisnie Eurotunnel Feurotunnel Feurotunnel Feurotunnel Feurotunnel Kreisnie Feurotunnel Feurotunnel Feurotunnel Feurotunnel Council Feurotunnel Feurotunnel Council Feurotunnel Auleban Feurotunnel Council Feurotunnel Council Feurotunnel Council Feurotunnel Council Feurotunnel Council Feurotunnel Auleban Feurotunnel Auleban Feurotunnel Auleban Feurotunnel Council Feurotunnel Council Feurotunnel Auleban Functional Auleban Auleban Auleban Innopolis	Colette MARIE		
Mathieu SAMARCQ Karine SELLIER Elina LOMPERI Kaarina RAUTIO Jesper BILLE Horst WEPPLER Anila HITAJ Mirela KOCI Nikolaos PETROPOULOS Dover / Pas de Calais strait Eurotunnel Feurotunnel Eurotunnel Feurotunnel Feurotunnel Alleba Helsinki-Uusimaa Regional Council Femern Belt Development Kreis Ostholstein AULEDA Innopolis	Marc HAERINCK	Dover / Pas de Calais strait	Grand Port Maritime de
Karine SELLIER Elina LOMPERI Gulf of Finland Helsinki-Uusimaa Regional Kaarina RAUTIO Council Jesper BILLE Femharn Belt Femern Belt Development Horst WEPPLER Kreis Ostholstein Anila HITAJ Otranto/Corfu Regional Council of Vlora Mirela KOCI AULEDA Nikolaos PETROPOULOS Innopolis			Dunkerque
Elina LOMPERI Gulf of Finland Helsinki-Uusimaa Regional Council Jesper BILLE Femharn Belt Femern Belt Development Kreis Ostholstein Anila HITAJ Otranto/Corfu Regional Council of Vlora Mirela KOCI AULEDA Nikolaos PETROPOULOS Innopolis	Mathieu SAMARCQ	Dover / Pas de Calais strait	Eurotunnel
Kaarina RAUTIO Jesper BILLE Horst WEPPLER Anila HITAJ Mirela KOCI Nikolaos PETROPOULOS Council Femharn Belt Femern Belt Development Kreis Ostholstein Regional Council of Vlora AULEDA Innopolis	Karine SELLIER		
Jesper BILLE Horst WEPPLER Anila HITAJ Mirela KOCI Nikolaos PETROPOULOS Femharn Belt Femern Belt Development Kreis Ostholstein Regional Council of Vlora AULEDA Innopolis	Elina LOMPERI	Gulf of Finland	Helsinki-Uusimaa Regional
Horst WEPPLER Anila HITAJ Otranto/Corfu Mirela KOCI Nikolaos PETROPOULOS Kreis Ostholstein Regional Council of Vlora AULEDA Innopolis	Kaarina RAUTIO		Council
Anila HITAJ Otranto/Corfu Regional Council of Vlora Mirela KOCI AULEDA Nikolaos PETROPOULOS Innopolis	Jesper BILLE	Femharn Belt	Femern Belt Development
Mirela KOCI AULEDA Nikolaos PETROPOULOS Innopolis	Horst WEPPLER		Kreis Ostholstein
Nikolaos PETROPOULOS Innopolis	Anila HITAJ	Otranto/Corfu	Regional Council of Vlora
	Mirela KOCI		AULEDA
Innancis	Nikolaos PETROPOULOS		Innopolis
Initiopolis	Ionnis PAPAGIANNOPOULOS		Innopolis

The interviews with each PASSAGE project partner increased understanding of the context and specificities of each strait. These exchanges mainly enabled additional local context information to be identified, and it was also an opportunity to briefly present the first methodological approach to calculate the GHG emissions at a strait level. The port authorities were also invited to participate to these discussions, when possible. This information served as the basis for the design of the methodological framework, and for the preparation of the data collection tool.

b) Step 2: Literature review and expert interviews

The second step of the study was mostly done in parallel with the first step: the project team carried out a literature review of relevant studies and scientific publications related to the definition of a strait, the GHG emissions methodologies and actions for specific sectors and activities taking place at strait level. This review has been consolidated through several interviews with researchers and representatives of key organisations working on these fields. The table below presents the organisations and representatives that have been interviewed.

Table 2 - List of the people interviewed by the project team

Name	Organisation	Expertise
Frédérique LOEW- TURBOUT	Université de Caen	Atlas Transmanche
Nora MAREI	CNRS (PRODIG)	Expertise on Gibraltar strait and regional economics
François LEVARLET	T33 SRL	Study cross border needs (EU, 2016)
Patrick RIGOT-MULLER	Mines PARISTECH	Low-carbon shipping

c) Step 3: Defining a methodological framework

The project team defined a common methodological framework for all the straits, based on the literature review and the interviews carried out in the previous steps. The framework includes a definition of the strait's boundary, a description of the activities accounted for in the inventory, the calculation methodologies and a categorization of the emissions by sectors and sub-sectors. The





methodological framework follows the main accounting and reporting principles from the *GPC Protocol Standard*. A methodological note was written to ensure transparency and that stakeholders had a good comprehension of the calculations and methodologies used . The detailed methodological framework is described further below in this report.

d) Step 4: Data collection and complementary literature review

The data collection was led by the PASSAGE partners in each strait. To facilitate the data collection, the project team provided a draft letter to be sent to each type of stakeholder (port authority, coastguards), describing the data needed and the deadline, along with a data collection tool. However, the tool was just indicative, the cells were not expected to be filled in one by one, but the tool enabled the stakeholders to identify the data needed under the project. The stakeholders were encouraged to send any database containing the data listed, in order to facilitate the process for the data holders.

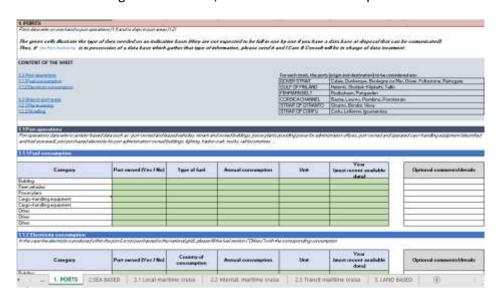


Figure 2 - Extract of the data collection tool sent to PASSAGE partners (Source: I Care & Consult)

To cope with the difficulties faced by partners to collect data and to inform the data collection tool, a complementary literature review was carried out by the project team. Based on the literature desk review, together with the information provided by PASSAGE partners, the project team was able to fill in some of the remaining data gaps in the data collection tool. Unfortunately, due to some data unavailability ("defence secret" classified data, for example), it was not possible to totally complete the GHG inventory for all the straits, and despite several hypothesis proposed by the project team, the required accuracy of the results could not be reached under this project. The main limitations of the study are presented further below in this report.

e) Step 5: Analysis at strait level and completion of individual monograph

During this step, the full information collected from both data collection tool and complementary literature review was documented in the form of an individual monograph dedicated to each PASSAGE straits. In each strait's monograph, the current GHG emissions due to each sector and sub-sector considered in the area were presented.





f) Step 6: Transversal analysis and completion of final report and recommendations

A transversal analysis was conducted to draw the main lessons and key findings from the carbon study in each strait. Recommendations and good practices were provided to the PASSAGE partners while they were preparing their action plan. Recommendations for further work on this subject and for further actions to reduce the emissions are also presented in this final report.

4. Focus on the key elements of the approach

This section provides a detailed explanation on the methodological approaches being defined and used in the development of the GHG inventories of the Straits during this study.

a) Defining a strait: perimeter, activities

Geographers describe straits as "narrow stretches of water between two land masses joining two marine expanses" as explained by Nathalie Fau, a well-known expert on straits³. They can connect two oceans, or an ocean to a sea (the Strait of Gibraltar), two continents (the strait of Gibraltar, the Bosphorus), an island and a continent (Dover / Pas de Calais Strait), or two islands (Bonifacio Strait). In a paper published in 2003, the geographer Jean-Pierre Renard defines the Strait of Dover as a "terraqué" or terraqueous space, trans-border area (from the Latin words terra and aqua). Indeed, as recalled by Fau in HyperGeo, this complex space forms a maritime and terrestrial interface, and to study a strait it is necessary to consider longitudinal flows, cross-flows and the maritime space per se, since it at once constitutes the dividing line and the zone of contact in either direction.

The strait also induces several discontinuities, notably legal ones, administrative ones with different systems and competences for the local authorities across the border and, sometimes, economic ones when the two regions facing each other do not have the same level of economic activity. Cooperation is notable for maritime security and risk minimisation. The straits and the cross-border regions show and benefit from regional economic integration as documented by several OECD studies in the Oresund or Helsinki-Tallinn regions (OECD, 2013⁴).

From a geographical point of view, a strait is a narrow stretch of water between two land-masses joining two marine expanses. Unlike cities, for which we can generally base studies on administratives boundaries, a strait is a complex area comprising a maritime space and a terrestrial interface, with a spatial dimension that can be subject to discussion and interpretation depending on the purpose of this definition. Moreover, there is no administrative boundary for a strait (although there are different administrative boundaries within a strait), and thus it is necessary to take into consideration functions and activities of a strait to be able to propose and justify a specific boundary.

From a functional point of view, a strait is the crossing-point where the crossing is the shortest possible. It is thus a core node of transport and communication, with a "bridge effect" stepping up maritime connections (ferries, container transport, ro-ro ferries etc.) or fixed links (bridges and tunnels). A strait can be seen as a transportation hub organized around the main ports on both side of the strait, involving longitudinal (between the main ports of the strait) and transit flows of goods and people

³http://www.hypergeo.eu/spip.php?article576

⁴ Nauwelaers, C., K. Maguire and G. Ajmone Marsan (2013), "The Case of Helsinki-Tallinn (Finland-Estonia) – Regions and Innovation: Collaborating Across Borders", OECD Regional Development Working Papers, 2013/19, OECD Publishing. http://dx.doi.org/10.1787/5k3xv0lrt1r6-en





through the maritime corridor. Economic activities, as well as in-land transportation are then induced by theses flows through the maritime corridor.

A strait is thus a maritime corridor through which there are longitudinal flows and transit flows. Chorems or ("chorèmes" in French) are proposed by Marei & Baron (2014⁵) to represent the spatial functions as well as to propose four possible different engines of the territorial development along a terraqueous frontier. This type of graphic representation identifies the main representative stakeholders (institutional, economic) of a strait activity ,as well as their operational competences. An example is the port authority, with operational competences directly linked with the strait activity (notably the maritime freight between the two sides of the strait).

Finally, based on the literature review, and interviews carried with key experts and PASSAGE partners, a first schematic representation named "chorem" has been proposed for each strait, which represent the spatial functions and boundaries, as well as the main flows and induced economic activities to be potentially included in a GHG inventory. At a later stage, the individual straits were requested to validate the specific activities that can be found in their strait, and provide associated data for identified sectors and activities.

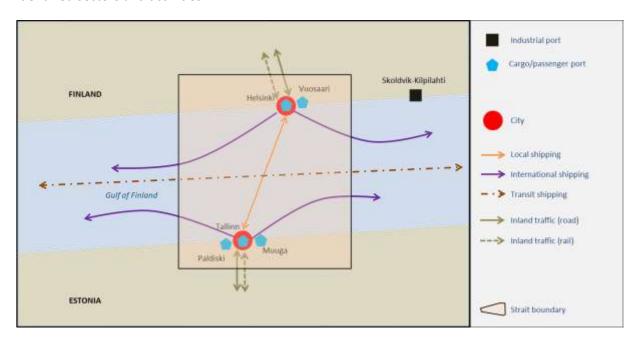


Figure 3 – Chorem representation for the Gulf of Finland (Source: I Care & Consult)

To clarify the geographic boundaries of the strait (including the hinterland distance and the maritime distance of the strait), the NUTS 3 regions corresponding to the ports taken into consideration in each strait, were considered for most of the straits (with some exceptions, described in the carbon study for each strait). The NUTS (Nomenclature of Territorial Units for Statistics) is a hierarchical system for dividing up the economic territory of the EU, for the purpose of socio-economic analysis of the regions. The NUTS 3 correspond to small regions, which allow a precise analysis.

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⁵ Penser le détroit de Gibraltar pour figurer l'entre-deux, Nora Mareï et Nacima Baron Yellès. https://belgeo.revues.org/10632





b) Selecting the main activities related to GHG emissions taking place in the Straits

From an economical point of view, most of the straits in Europe are characterised by **diverse economic activities**, ranging from fishery, tourism, services and manufacture to industry. According to NOSTRA⁶, all these economic activities contribute directly to the local GDP and many provide essential employment opportunities for the local population as well as contributing to welfare. Additionally, as explained in the previous section, a strait can be seen as a **transportation hub** organized around the main ports on both side of the strait, involving longitudinal (between the main ports of the strait) and transit flows of goods and people through the maritime corridor. Economic activities, as well as in-land transportation are then induced by theses flows through the maritime corridor.

During this study, a focus was made on the activities that have a potential and relevant impact in terms of GHG emissions. Based on the literature review and current framework in terms of GHG calculation and reporting, a first distinction was made to distinguish three main sectors and type of activities at strait level: "port" activities, "land-based" activities, and "sea-based" activities.

A second distinction with several sub-sectors was also proposed, to enable a better understanding of what physically happens in terms of GHG emissions, especially regarding transportation activities, (considering that GHG emissions from strait activities are mainly driven by transportation activities). This distinction should also help to identify the main stakeholders involved in GHG emissions of specific sub sector. The table below presents an exhaustive list of activities taking place in all the European straits and that are considered in the scope of this study.

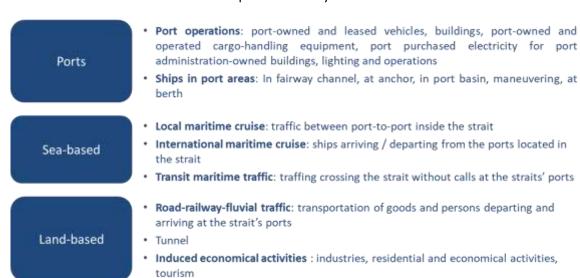


Figure 4 – Proposed categorization of GHG emissions at strait level (Source: I Care & Consult)

It is assumed in the present methodology that ports are able to influence strait's GHG emissions, and as a consequence, ports should be considered as an important component of a low carbon strategy at strait level. The proposed designation includes:

• **Sectors**: define the topmost categorization of the strait's GHG sources activities, mainly driven by flows of people and goods;

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⁶ Baseline study of the Nostra Project: Final report





• **Sub-sectors**: this additional level of categorization enables the use of disaggregated data, and helps identify mitigation actions by partners and stakeholders.

It is important to clarify here, that the availability of data is a key factor in deciding whether to include or exclude several sub-sectors proposed above. Indeed, the GHG inventories that were built under the PASSAGE project are based on recent and easily available data, because the data collection needed to be finalized under a tight timescale.

c) Setting a methodological framework

During this study, the main accounting and reporting principles for strait GHG emissions follow the main principles from the *GPC Protocol Standard*, which enables a fair and true account of emissions:

Relevance: The reported GHG emissions shall appropriately reflect emissions occurring as a result of activities of a strait. The inventory can also serve the decision-making needs of the PASSAGE project members, taking into consideration relevant local, subnational, and national stakeholders and regulations. The principle of relevance applies when selecting data sources, and determining and prioritizing data collection improvements.

Completeness: Straits shall account for all required emissions sources within the inventory boundary. Any exclusion of emission sources should be justified and explained.

Consistency: Emissions calculations shall be consistent in approach, boundary, and methodology. Using consistent methodologies for calculating GHG emissions enables meaningful documentation of emission changes over time, trend analysis, and comparisons. Calculating emissions should follow the main methodological approaches available for GHG emissions inventory.

Transparency: Activity data, emission sources, emission factors, and accounting methodologies require adequate documentation and disclosure to enable verification. The information should be sufficient to allow individuals outside of the inventory process to use the same source data and derive the same results. All exclusions shall be clearly identified, disclosed and justified.

Accuracy: The calculation of GHG emissions shall not systematically overstate or understate actual GHG emissions. Accuracy should be sufficient to give decision makers and the public reasonable assurance of the integrity of the reported information. Uncertainties in the quantification process shall be reduced to the extent that it is possible and practical.

This methodology requires straits to measure and disclose a comprehensive inventory of GHG emissions and to total these emissions following a categorization of all emissions depending on where they physically occur.

Activities taking place within a strait can generate GHG emissions that occur inside the strait's boundary, as well as outside the strait's boundary (such as international maritime cruise for example). This methodological approach is based on an adapted application of the scopes framework used in the "GPC Protocol Standard", and takes into consideration the strait-induced activities and the different abilities of strait stakeholders to take effective action on mitigating climate change.

The figure below illustrates which emission sources occur solely within the geographic boundary of a strait, which occur outside the geographic boundary of a strait, and which may occur across the geographic boundary:





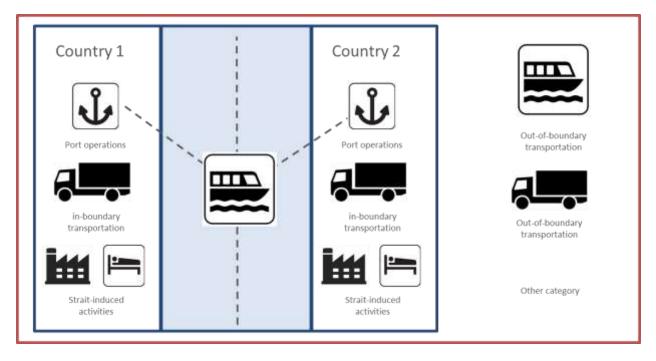


Figure 5 – Illustration of the emission sources within and outside the strait's boundary (Source: I Care & Consult)

This proposed methodological approach should enable strait inventories to be consolidated with existing available GHG inventories (for example the WPCI carbon footprint calculator⁷ used by a Port Authority, the *Baseline Emission Inventory* carried out by a city involved in the Covenant of Mayors⁸ or an industrial plant located inside the strait boundary that carried out a specific GHG inventory of its activity⁹), and to be compared with national GHG inventories, especially regarding international maritime traffic.

d) Calculation methodologies

The proposed methodology is based on the main purpose of a strait inventory, that is to say:

- Demonstrate leadership in climate change mitigation: stakeholders of PASSAGE project will
 propose a first GHG inventory of strait-induced activities, and try to engage the main relevant
 stakeholders, in order to promote and implement low-carbon operations and reduce GHG
 emissions at strait level;
- Estimate and understand the order of magnitude and the share of GHG emissions from straits
 activities in comparison to other territories in the European Union: this project will also enable
 stakeholders to estimate the consistency of the results with their country's national
 inventories;
- Compare with, learn from, and share best practice with other straits: the PASSAGE project brings together six straits and eleven partners in several countries, that will map and understand the emissions contribution from different activities at a strait level.

⁷ http://wpci.iaphworldports.org/carbon-footprinting/

⁸ http://www.covenantofmayors.eu

⁹ National Emission Registry of industrial plant in France: <u>www.georisques.gouv.fr</u>





This approach does not require specific methodologies to be used to produce emissions data. Instead it is based on the most appropriate methodologies used by the main stakeholders involved in strait activities, and already carrying out inventories for those activities, that is to say:

- Port authorities, following the WPCI carbon footprint calculator;
- Transportation companies, following the GLEC framework;
- Cities, following the GPC Protocol Standard or the Covenant of Mayors.

For most emission sources, as for companies or cities, the strait's stakeholders will need to estimate GHG emissions by multiplying activity data by an emission factor associated with the activity being measured.

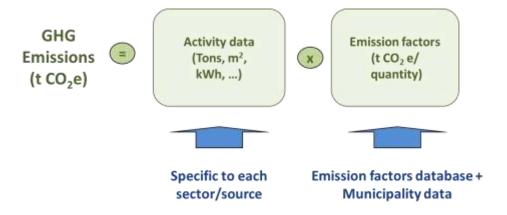


Figure 6 - Principles of calculations (Source: I Care & Consult)

- Activity data is a quantitative measure of a level of activity that results in GHG emissions taking
 place during a given period of time (2016 in the case of this study): For example, the electrical
 consumption due to port operations, or the ton-kilometers transported by trucks departing
 from a port, etc.
- Emission factor is a measure of the mass of GHG emissions relative to a unit of activity: For example, estimating CO₂ emissions from the use of electricity involves multiplying data on kilowatt-hours (kWh) of electricity used by the emission factor (kgCO₂/kWh) for electricity, which will depend on the technology and type of fuel used to generate the electricity.

Activity data will need to be gathered from a variety of sources, including national or local statistics, the country's national GHG inventory report, scientific and technical articles, or GHG emission reports carried out by a specific stakeholder (for example Eurotunnel).

The calculation methodologies per sub-sector are detailed in the annex.

5. Opportunities and benefits resulting from the carbon study

Following the NOSTRA project, the carbon study carried out for the European Straits of PASSAGE is one of the first studies on the carbon footprint at a strait level. Through the work that has been carried out, PASSAGE partners have had access to some key opportunities during the study:

• Opportunity to deepen the collaboration with the cross-border partners in each strait and with the stakeholders outside their structure;





• Opportunity to share good practices and collaborate with the PASSAGE partners.

The main benefits the PASSAGE partners could take advantage of as a result of the carbon study are:

- Awareness raising and capacity building of the PASSAGE partners on the mitigation of climate change issues;
- Analysis of the stakes at a strait level, in terms of GHG emissions, on which actions must be taken to reduce their impact on climate change;
- Awareness raising on the maritime emissions at a local level. This study aimed to allocate emissions at a local level and integrate them into the local stakeholders' responsibilities. This occurred in a favourable timing with the adoption of an MRV (Monitoring, Reporting, Verification) Shipping Regulation by the European Commission and the IMO DCS (Data Collection System). This led to a lobbying action at a European level, as well as a common answer to the European public consultation on the revision of the policy on monitoring, reporting and verification of CO₂ emissions from maritime transport.
- Completion of an original and innovative study, to increase the knowledge of the GHG impact
 of these specific territories, the straits, in the continuity of different studies led by universities.
 This study brought a global perspective of the situation and evolution of the strait, as well as
 the identification of some gaps in addressing key challenges for the strait. This could also lead
 to the publication of a scientific article.

6. Limitation of the carbon study

The main limitation was to juggle multiple straits with specific characteristics (geography, economy etc.) and the will to build a common and homogeneous methodological approach. The main limitations linked to the approach were:

- Type of straits: there were major differences between the straits studied, in terms of economic activities and maritime transport specifically. For example, the strait of Dover / Pas de Calais is a major hub of transport, with almost 20% of worldwide maritime traffic in 2006 and important industries, while the Strait of Corfu presents a very different economical context (mainly small-scale ports, few industries, tourism being the main economic activity).
- **Definition of the organizational perimeter**: contrary to the cities, there are no administrative boundaries to a strait. The definition of the boundaries of a strait is a research subject and there is no clear definition nowadays, specifically on the depth of the hinterland and the length of the maritime boundary. An arbitrary decision was made to consider the NUTS3¹⁰ regions as the hinterland of the strait and as the limit of the maritime boundary. However, some boundaries differ due to local context. In some cases, there was already a defined maritime boundary (according to the IMO Separation Traffic Scheme, such as the Strait of Dover / Pas

¹⁰ Nomenclature of territorial units for statistics (hierarchical system for dividing up the economic territory of the EU).





de Calais or the Corsica Channel). In some other cases, more relevant hinterland was considered (such as the consideration of the capital region in the Finnish shore of the Gulf of Finland's Strait, for the economical induced activities). This led to a heterogeneity in the boundaries, to align with the local context of the strait, with arbitrary decisions that are debatable and differences between the straits.

- **Definition of the operational perimeter**: this perimeter is mainly homogeneous for all the straits, except for the inclusion of tourism in the induced economical activities of the Strait of Dover / Pas de Calais, that was not included in the other straits. A functional approach around the strait was considered, which means that not all the emission sources within the boundary are considered (such as waste or resident's transport). Only the activities induced by the presence of the strait were considered, which led to a selection of representative activities (ports activities, in-land transport linked to the ports, maritime transport, industrial activities, residential and commercial activities). One of the limitation is that not all sources of emissions and activities are taken into account in the straits. Moreover, there might be some overlaps and double counting in the calculations of emissions from tourism in the Strait of Dover / Pas de Calais, as a part of these emissions might be included in the Residential and Commercial emissions from the cities.
- Definition of a methodological approach: the approach was built based on scientific works, without being able to evaluate the type of data available and needed. There was then an adjustment of the method depending on the available data, that led to some arbitrary decisions that could be debatable. For example, there is a difference in the emission factors for ferries considered in the local maritime cruise and the ferries considered in the maritime cruise with calls to the strait's ports, depending on the availability of data such as the number of ferries and the number of passengers transported.
- Emission factors: the emission factors for maritime transport considered in the study are taken from the DEFRA database mainly. However, there seems to be large differences between the emission factors in the different databases available at a European level (Base Carbone in France, DEFRA in the UK, LIPASTO in Finland...). It was decided to use only the DEFRA database in all the straits, in order to be consistent in the methodology, but this impacts largely the results in some of the straits (such as the Gulf of Finland's Strait).
- Missing data: due to difficulties accessing some information in the very restricted timeline, some data is missing for some of the straits. This is due to the technicality of the study and the lack of time to reinforce the capacity of the stakeholders and ensure a good comprehension of the stakes and the data to collect. Moreover, with the transit maritime transport, there is variation between the countries on the institutional cooperation and the governance, which led to data missing in some straits (Corsica Channel, Fehmarnbelt, Strait of Otranto). 95% of the data needed was not in direct possession of the PASSAGE partners, meaning that access to data depended on the connection of the partner to its stakeholders and their capacity to collect the data needed. The governance and the network of actors varied greatly between the straits and between the countries.





- Results: in some cases, due to missing data the GHG emissions inventories are not complete. In these cases, notation keys (NC not communicated) were used to indicate that the source of emissions is not negligible, but that it was not accounted for as the data was not collected. This could lead to a misinterpretation of the results. Moreover, it was only possible to distinguish the emissions within and outside the strait's boundaries in two cases (Strait of Dover / Pas de Calais and Gulf of Finland's Strait). Finally, there was no inventory management plan implemented for the follow-up and the update of the inventories, even though a robust and transparent tool was provided with the calculations. Training could be provided to ensure a good comprehension of the tool and the calculations and the ability to update the inventory over time.
- Action plans and decarbonization paths: The action plans proposed are not always directly linked to the study due to the operational responsibilities of the partners (such as the CCI de Bastia, which has a direct operational responsibility with the Port of Bastia in the Corsica Channel, and the Pas-de-Calais County Council which doesn't have a direct link with the ports). Moreover, for the decarbonization paths, an indicative top-down logic was used, which means the trajectory was built from national and/or sectoral plans, and was not connected with the action plan.

7. Recommendations for further work

To continue the work, the main recommendations are the following:

- Ensure a translation of the deliverables in the native language of the PASSAGE partners and communicate the study to the stakeholders;
- Continue to build cooperation with the stakeholders, to sustain the data collection over time and to allow the inventories to be updated and the missing date to be obtained;
- Improve the emission factors used to reflect the local context and the specificities of each strait and actors (such as the maritime companies operating in the strait);
- Consolidate the data, specifically on transit maritime cruise, by working with the institutional organizations in charge of collecting the data and the coastguards;
- Expand the work through a university thesis or work.





Outcomes of the carbon study at the level of the partnership

1. Main GHG emission sources at strait level

As described earlier, there are 4 main emission source sectors considered in this study:

- Ports operation: including energy consumption of the buildings in the port and of the ships in the port areas. This emission source was included in the inventory when available (for the Strait of Dover/Pas-de-Calais). However, the information was not easily accessible in most cases and thus this emission source was incomplete in some cases (Gulf of Finland's Strait, missing the emissions from the ships in port areas in the Estonian shore, and Corsica Channel, missing the energy consumption in the port's buildings in the French shore and the emissions from the ships in port areas in the Italian shore) or not considered at all in other cases (Fehmarnbelt, Strait of Otranto and Strait of Corfu). However, the emissions are in most cases small, especially compared to the other sectors considered in this study (less than 2% in the case of the Strait of Dover / Pas-de-Calais and the Gulf of Finland's Strait).
- Maritime transport: including local, international (with calls to the strait's ports) and transit maritime cruise. This emission source was included in most of the inventories, depending on the local availability of data. The local maritime cruise data was collected from the ports and/or the local maritime companies. The international maritime cruise data was collected from the ports, and the transit maritime cruise was collected from the coastguards. This last one was harder to obtain due to the lack of monitoring of the ships passing through the straits in some cases (Strait of Corfu for example), or due to the difficultly accessing the data due to the institutional relationships and governance (Strait of Otranto for example). The inventory for the Strait of Otranto is incomplete on this sub-sector as no data could be collected. For the other straits, the missing data could be estimated thanks to data collected on the other shore or from publications. This emission source represents 10% to 30% of the emissions within the strait, and up to 64% of the emissions in the Strait of Corfu.
- In-land traffic: including road, railway, waterways transport from and to the ports and tunnel transport if appropriate. This emission source was included in all the inventories based on local and national statistics on the quantity of merchandise transported, the number of passengers passing through the ports, the mode of transport and the distance travelled. This emission source represents between 3% and 20% of the emissions within the strait. In the Gulf of Finland's Strait, the in-land traffic represents only 3%, which can be explained by the characteristics of the strait, which links two capitals with a large alternative transport offer and lower distances travelled. In Fehmarnbelt, the in-land traffic represents almost 20% of the emissions, which can also be explained by the characteristics of the strait which is far from the main cities in both countries, implying higher distances travelled.
- Induced economical activities: including industries and residential and commercial activities.
 This emission source was included in all the inventories based on European data on the emissions from industries (in the EU-ETS database), and on the emissions from residential and commercial activities per capita in each country (as reported to the UNFCCC). This is a major source of emissions representing between 20% and more than 80% of the emissions in the





strait. In the straits of Dover / Pas de Calais, Corsica Channel, Gulf of Finland and Otranto, this is mainly due to the many industries in the strait region.

2. Significance of GHG emissions in straits

The emissions of the PASSAGE straits were $44.0 \text{ MtCO}_2\text{e}$ in 2016, mostly emitted by the Strait of Dover / Pas de Calais (33%). This is equivalent to the emissions of 6.5 million inhabitants in Europe¹¹ and to 1.1% of the European Union emissions¹².

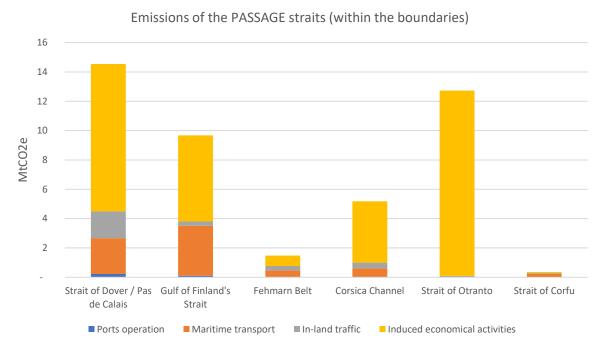


Figure 7 - Emissions of the PASSAGE straits (within the boundaries) (Source: I Care & Consult)

In most of the straits, the induced economical activities (industries & cities) represent a significative part of the GHG emissions within the strait's boundaries. This is mainly the case in the Strait of Dover / Pas de Calais, the Gulf of Finland's Strait, Corsica Channel and the Strait of Otranto, where there are many industries that influence the emissions. The cities (residential and commercial activities) are also a main source of emissions in the straits.

The maritime cruise, and specifically the transit maritime cruise, is an important source of emissions for the straits occurring mainly in the Strait of Dover / Pas de Calais and the Gulf of Finland's Strait. The maritime cruise (local, international and transit maritime cruise) represents 16% of the global emissions of the PASSAGE straits.

Finally, the on-road transportation is also an important source of emissions in the majority of the straits.

However, it's important to note that, due to data missing, some inventories are not complete (specifically concerning the ports operation and the transit maritime cruise, such as in the straits of Corfu and Otranto).

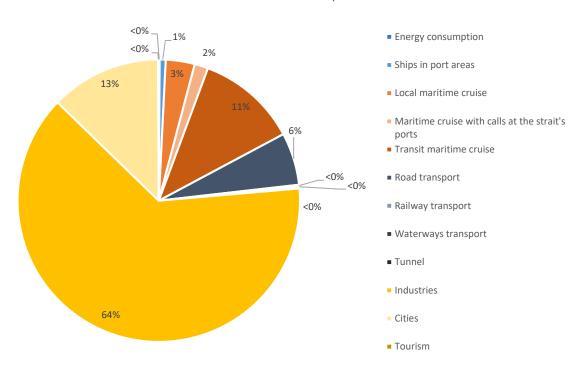
Carbon emissions study in the European Straits of the PASSAGE project

¹¹ Considering 6.8 tCO2e/capita. Source: Service of Observation and Statistics in France, based on data by EDGAR, World Bank, 2015

¹² Considering emissions of 4 054 MtCO2e in the European Union in 2014. Source: CAIT Climate Data Explorer, World Resources Institute







PASSAGE Straits' emissions per source

Figure 8 - Breakdown of the PASSAGE straits' emissions per sub-sector (Source: I Care & Consult)

3. Comparative table between straits

The following table show the emissions per source of each of the straits. It is important to note that the results cannot be compared between the straits, as they are influenced by the specificities of each strait and the availability of data (as not all the inventories are complete).

But, it is still interesting to note that the emissions mainly reflect the specificities of the straits. The straits with significant levels of traffic (such as the straits of Dover / Pas de Calais, Gulf of Finland or Otranto) also have significant emissions due to the industries, as well as significant road transport. This can be explained by the influence of the traffic and the main ports on the supply of materials to the industries for example.

The straits with a less significant traffic (such as Fehmarnbelt and the strait of Corfu) are mostly impacted by the emissions from the local maritime cruise, the residential and commercial activities in the cities and the in-land transport. The transit maritime cruise and maritime cruise with calls at the strait's ports are of less importance compared to the other straits.





Table 3 - Comparative table of the emissions of each strait

Emission source (within the strait's boundary) in tCO2e			Strait of Dover / Pas de Calais	Gulf of Finland's Strait	Fehmarnbelt	Corsica Channel	Strait of Otranto	Strait of Corfu
Port	8	Energy consumption	16 205	26 437	NC	694	NC	NC
operations	₩.	Ships in port areas	206 868	75 590	NC	23 138	NC	NC
		Local maritime cruise	725 457	190 435	248 571	73 117	55	214 443
transport li		Maritime cruise with calls to the strait's ports	21 834	383 583	NC	229 978	31 377	16 435
		Transit maritime cruise	1 702 548	2 839 190	231 081	289 993	NC	/
		Road transport	1 688 671	262 653	289 190	367 205	61 466	50 308
In-land		Railway transport	32 951	22 431	3 086	21 415	2 691	/
traffic		Waterways transport	36 345	/	/	/	/	/
		Tunnel	64 899	/	/	/	/	/
Induced	<u>H</u>	Industries	8 346 854	4 849 287	0	3 570 531	11 163 390	1 556
economical		Cities & Towns	1 667 014	1 028 048	710 864	606 029	1 468 585	75 469
activities		Tourism	37 896	NC	NC	NC	NC	NC
		TOTAL	14 547 543	9 677 653	1 482 791	5 182 101	12 727 564	358 210





4. Responses addressing key challenges for low carbon development of straits: EU policies and governance practices

The European Union emissions represent about 10% of total global emissions. Its Member States have ratified the UNFCCC's Kyoto Protocol in 1997 and the Paris Agreement in 2015, setting emission targets to limit the global emissions and keep global warming below 2°C.

In its 2050 Low-Carbon Economy Roadmap, the EU aims to cut greenhouse gas emissions to 80% below 1990 levels (with milestones to 40% by 2030 and 60% by 2040), with a contribution from all sectors (power sector, residential and tertiary, industry, transport, agriculture). The Effort Sharing Decision establishes binding annual greenhouse gas emission targets for Member States for the period 2013-2020. These targets concern emissions from most sectors not included in the EU Emissions Trading System (EU-ETS), such as transport, buildings, agriculture and waste. The national emission targets for 2020 have been agreed unanimously and are based on the relative wealth of each Member States (GDP per capita).

The international maritime transport is not included in the national inventories and the Paris Agreement. However, it represents about 2.5% of global greenhouse gas emissions according to the 3rd IMO GHG Study. Moreover, they are predicted to increase between 50% and 250% by 2050, depending on future economic and energy development, which is not compatible with the goal of keeping global temperature increase to below 2°C compared to pre-industrial levels. In order to promote the reduction of emissions by the maritime companies, the European Commission set out a strategy in 2013 to include maritime transport emissions into the EU's policy for reducing its domestic GHG emissions. The strategy consists in 3 steps:

- Monitoring, reporting and verification of CO₂ emissions from large ships using EU ports
- Greenhouse gas reduction targets for the maritime transport sector
- Further measures including market-based measures in the medium to long term

From 2018, the MRV companies (ships over 5000 gross tonnes loading/unloading cargo/passengers at EU maritime ports) are to monitor and report their related CO_2 emissions, submit to an accredited MRV shipping verifier a monitoring plan and submit the verified emissions through THETIS MRV (a dedicated European Union Information system currently under development by the European Maritime Safety Agency). In parallel, the IMO is implementing a Data Collection System. This system requires every ship of 5000 gross tonnage and above to collect consumption data for each type of fuel oil they use. The aggregated data is reported to the flag State each year and then transferred to an IMO Ship Fuel Oil Consumption Database. An annual report will then be produced by the IMO.

All these initiatives, combined with the national and sectoral plans in each country aiming to reduce greenhouse gas emissions, will impact the straits and lead them into low-carbon development.





5. Recommendations for future actions

a) At the level of the partnership

The carbon study has resulted in several key recommendations for the PASSAGE partners:

- Capitalise on this first work by deepening the knowledge of the strait's carbon footprint through academic research;
- Consider monitoring the emissions over time, through the update of the inventories;
- Consolidate the governance at a strait level;
- Bring the key findings to the attention of the European Commission.

b) At strait level

The carbon study has resulted in key recommendations that are generic at a strait level:

- Port Authorities: it would be interesting for all the ports of the straits to adhere to the WPCI (World Ports Climate Initiative), an initiative launched by the International Association of Ports and Harbors (IAPH) under the auspices of the C40 Cities to reduce the greenhouse gas emissions in the ports. Moreover, the Port Authorities should ask the European Commission for the MRV Shipping Regulation data for all the ships calling at their ports. This would improve and facilitate the data collection for the future update of the inventories and allow the ports to better understand their impact on climate change and their role as transportation and economic centers.
- Port towns: it would also be interesting for the cities and towns with a port to include the
 maritime transport and the port's emissions in their inventories to have a better understanding
 of the impact of these activities on the territory and the emissions and to ensure an inclusion
 of actions linked to these activities in the strategies and action plans to tackle climate change.
- PASSAGE partners: it is important to continue and improve the working cooperation with the
 different stakeholders within the strait (port authorities, coastguards, cities etc.), to facilitate
 the data collection and the implementation of the action plan to reduce the GHG emissions.
 Moreover, it is also important to continue and improve the cooperation between the two
 shores of the strait and between the local and regional institutions in charge of specific topics,
 to ensure compatible and shared strategies and actions within the strait.





Overview of the baseline study at strait level: Otranto Strait

This chapter presents the main conclusions of the analysis carried out for Otranto Strait.

IDENTITY OF THE STRAIT

The strait in a nutshell

The Strait of Otranto (Albanian: Kanali i Otrantos; Italian: Canaled'Otranto) connects the Adriatic Sea with the Ionian Sea and separates Italy from Albania. Its width from Kepi I Gjuhes, Karaburun, Albania to Punta Palascìa, east of Salento is less than 72 kilometers (45 mi). The strait of Otranto has a very strategic position and for centuries has been a key to control all traffic flow from Mediterranean to Adriatic seas.

The strait specificities

- Major transit area
- The EU has called several places on the Strait of Otranto "sites of Community interest" (SIC), for their environmental importance

Main findings

- 12.7 MtCO₂e were emitted within the Strait of Otranto's boundary in 2016, equivalent to the average emissions of about 1.9 million inhabitants in Europe¹³, which is 3.2% of Italian emissions and more than the Albanian emissions in 2014¹⁴.
- The industries in Brindisi represent the main emitter of the strait, with 88% of the emissions.
- The residential and commercial activities of the strait's regions represent a large part of the emissions.
- The road transport linked to the goods and passengers passing by the strait's ports (Brindisi, Durres and Vlora) is also an important emitter of the strait.

Decarbonization paths

- Italy and Albania have ambitious targets of reduction of GHG emissions implemented in national strategies:
 - Reduction of emissions by 39% by 2030 and 63% by 2050 compared to 1990 in Italy
 - o Reduction of emissions by 11.5% by 2030 compared to the baseline scenario in Albania
- The decarbonization path, based on the national targets applied to the strait's emissions, results in a reduction of the GHG emissions by 36% by 2030 compared to 2016.

Carbon emissions study in the European Straits of the PASSAGE project

¹³ Considering 6.8 tCO₂e/capita. Source: Service of Observation and Statistics in France, based on data by EDGAR, World Bank, 2015

¹⁴ Considering emissions of 403 MtCO2e in Italy and 9 MtCO2e in Albania in 2014. Source: CAIT Climate Data Explorer, World Resources Institute





1. Analysis of the situation at the strait level

Organizational perimeter

The strait of Otranto	ITALY	ALBANIA
The PASSAGE administrative authorities	Province of Lecce	Regional Council of Vlora
Inhabitants	815 597	183 105 inhabitants
Area (km²)	2 759	2 706
Density (inhab./km²)	296	138
Number of district authorities	97 comunes (Italian: comuni)	districts of Vlore, Saranda, Delvine. 7 municipalities.
Coastline (km)	-	244
Main city	Lecce	Vlora
Widin City	Brindisi	Saranda

Major projects and links with PASSAGE: gas pipeline will cross the Strait of Otranto to bring Azerbaijani gas in Italy, through Greece and Albania, with potential serious repercussions for the environment, tourism and fishing.

The boundaries of the strait were determined as follows:

- The maritime boundary is set according to the boundaries of the NUTS 3¹⁵ region (Brindisi and Lecce regions in Italy as well as Durres and Vlora in Albania);
- The Italian border boundary is the Brindisi and Lecce regions (NUTS 3);
- The Albanian border boundary is Durres and Vlorë cities.

The following map shows the boundaries of the strait considered here:

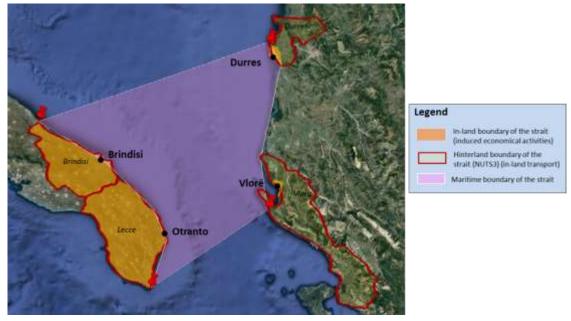


Figure 9 - Geographical boundary of the strait of the Strait of Otranto (Source: I Care & Consult)

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¹⁵ Nomenclature of territorial units for statistics (hierarchical system for dividing up the economic territory of the EU).





Functional & operational perimeter

Within the strait area, different activities take place and might generate significant GHG emissions, that are not necessarily under the control of the PASSAGE authorities. The section below aims to list the main activities within the considered perimeter.



PORTS

The major ports of the strait are Brindisi, Durres and Vlorë.

The port of Durres is the most important Albanian port with more than 2.6 million tonnes of freight handled in 2016 and 516 calls to the port. The port of Vlorë handled 54 611 tonnes of freight in 2016 as well as 198 079 passengers and 27 ships calling at the port.

The port of Brindisi is a major port in Italia, with more than 10.1 million tonnes of freight handled in 2016 and almost 5 000 calls to the port.



MARITIME TRAFFIC

As suggested by the literature review, the sea-based activity must be the main GhG source of emissions within a strait. The key figures of the **main maritime traffic** occurring in the Otranto Strait are reported below:

- The local maritime traffic concerns the trips between Vlorë and Otranto. This traffic represents 11 trips in 2016 and 17 330 tonnes of freight (mainly cement and computer parts) according to the Port of Vlora. No information was collected on the ferries between Italy and Albania, even though there seems to be a ferry line between Brindisi and Vlorë indicated in the following map.

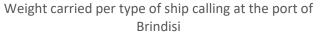


Figure 10 - Ferry lines from the port of Vlore (Source: Ferry Lines, 2014)

The maritime traffic with calls at the strait's ports (Vlorë, Brindisi and Durres) concerns all the ships arriving at and leaving from the strait's ports, except for the ferries and ships that are included in the local maritime transport. The Port Call Statistics of each port contains information about the type of vessel calling to the port, as well as the port of origin or destination, the weight carried and other information about the size of the ship. When the Port Call Statistics was not available (as is the case for the Port of Brindisi), the total weight carried per type of ship was considered.







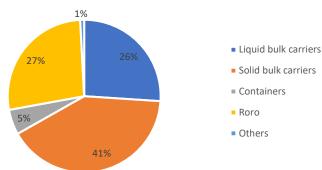


Figure 11 - Repartition of weight carried per type of ship calling at the port of Brindisi (Source: I Care & Consult from data by the Port of Brindisi)

The transit maritime cruise concerns the vessels passing through the Otranto Strait without any calls to the strait's ports. It mainly concerns ship traffic coming or going to Ancona (4 500 ships per year in 2014) or Trieste (3949 ships per year). There is a mandatory reporting system in the Adriatic Sea for all oil tanker ships of 150 gross tonnage and above and for all ships of 300 gross tonnage and above. However, no information could be collected on this traffic.

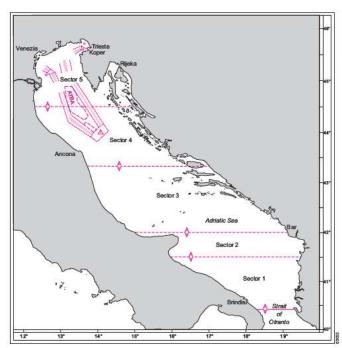


Figure 12 - Boundary of area of coverage of ADRIREP reporting system (Source: IMO, Ship's Routeing 2010)







IN-LAND TRAFFIC

Both freight and passenger traffics result in rail and road transport activities in the hinterlands.

Most of the goods are transported to their next destination by road in Albania and Italy. The national share for road and rail transport was used, as well as national statistics on distance based on Eurostat data. As Albania is not included in the Eurostat database, hypothesis was considered and the distance to the capital Tirana was taken into account:

Table 4 - Hypothesis on the modal share and distance for in-land traffic based on national statistics

Mode		Country	Modal share	Distance
	Freight	Italy	85%	135 km
		Albania	100%	150 km from Vlorë
Road transport		Albailla	100%	40 km from Durres
Road transport	Passengers	Italy	94%	325 km
		Albania	100%	150 km from Vlorë
				40 km from Durres
	Freight	Italy	15%	225 km
Railways		Albania	0%	/
Nanways	Passengers	Italy	6%	60 km
		Albania	0%	/

The following table presents the quantity of goods that were handled in the ports and transported in the hinterland:

Table 5 - Quantity of goods and number of passenger handled in the ports and transported in the hinterland

Port	Quantity of goods (tonnes)	Number of passengers
Vlorë	54 611	198 079
Durres	2 693 792	-
Brindisi	10 080 263	538 639



INDUSTRIES

There are a few industries in the petrochemical part of Brindisi, mainly energy industries such as a thermoelectric power plant (Enel Produzione) and a turbogas power plant (Enipower).



Figure 13 - Enipower power plant in Brindisi (Source: Enipower)







The regions of Lecce and Brindisi (Italy) and Vlorë and Durres (Albania) also produce emissions due to the energy consumption in the residential and commercial sectors. Those emissions are estimated based on the population of the strait's main regions and the national GHG inventory.

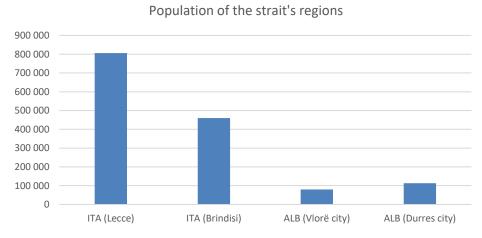


Figure 14 - Population of the main regions of the Strait of Otranto (Source: I Care & Consult from data by Eurostat – NUTS 3 and Pop-Stat.Mashke.org)





Schematic representation of the strait

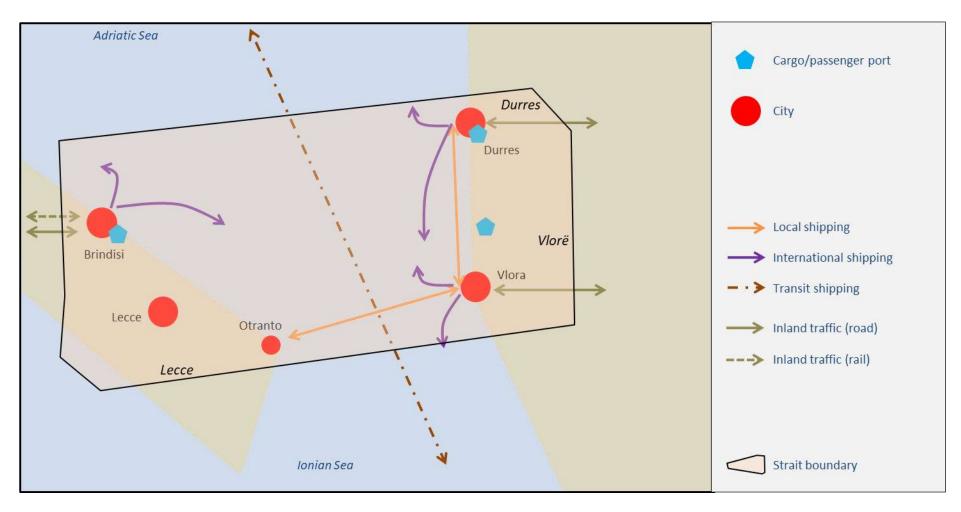


Figure 15 – Schematic "choreme" representation of the Strait of Otranto (Source: I Care & Consult)





Geographic representation of the strait

This map presents the main distances considered within the boundary based on the geographic boundary of the strait, as defined in the methodological note. The NUTS3 regions were considered to delimit the maritime boundary of the strait.

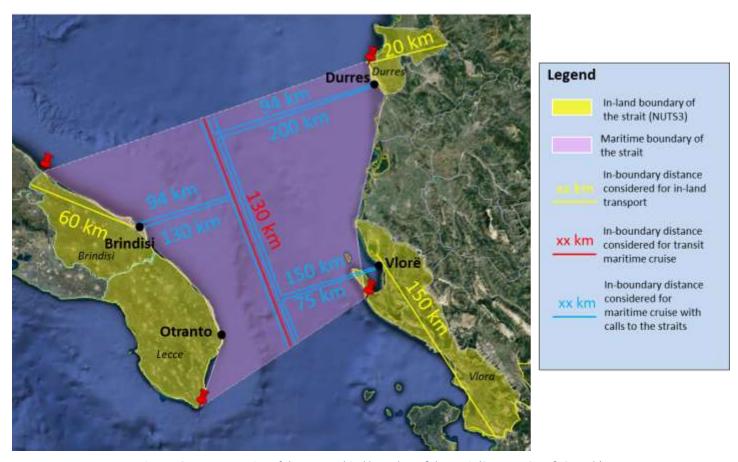


Figure 16 - Representation of the geographical boundary of the strait (Source: I Care & Consult)





2. GHG emissions and key priorities for future actions

This section aims to gather the main GHG emissions sources within the strait perimeter.

The latter are calculated thanks to the data collected from existing studies carried out by the PASSAGE administrative authorities, the local stakeholders, the literature reviews, and processed by I Care & Consult.

The Strait of Otranto emitted 12.7 MtCO2e in 2016. The main source of emission is the induced economical activities with 99% of the total emissions mainly from the industries in Brindisi, followed by the in-land transportation with 1% and the maritime transport representing less than 1% of the emissions. The emissions are mainly impacted by the industries in Brindisi. It is important to note that some emission sources were not estimated based on a lack of data (such as the emissions from ports operation as well as emissions from the ferries between Brindisi and Vlorë and the emissions from the transit maritime). Therefore, this study is very incomplete, and the emissions are estimated to be a lot higher.

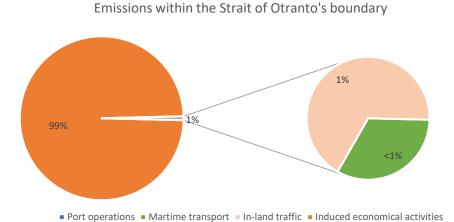


Figure 17 - Repartition of emissions from the Strait of Otranto (Source: I Care & Consult)

Table 6 - Repartition of emissions from the Strait of Otranto, per source

Emission source (within the strait's boundary) in tCO2e			Italy	Cross-border	Albania
Port	Ŷ	Energy consumption	NC		NC
operations	Ψ	Ships in port areas	NC		NC
		Local maritime cruise		55	
Maritime transport		Maritime cruise with calls to the strait's ports		31 377	
		Transit maritime cruise		NC	
In-land		Road transport	51 836		9 630
traffic		Railway transport	2 691		0
Induced		Industries	11 163 390		0
economical	##	Cities	1 384 799		87 787
		TOTAL	12 602 716	31 432	93 417





The emissions due to the strait's activity but emitted outside of the boundary (due to in-land and maritime transport outside of the boundary) were also estimated. Considering these indirect emissions, the strait is responsible for the emissions of **12.9 MtCO**₂**e**, from which 99% are emitted within the boundary. It is important to note that some of the indirect emission sources could not be estimated due to lack of information (such as the out-boundary maritime transport from ships calling to the Ports of Brindisi and Durres, cruises calling to the Port of Vlorë and the out-boundary transit maritime cruise).



PORTS

These emissions concern the emissions from the energy consumption of the ports and from the ships in port areas (manoeuvring and at berth, consuming energy for the main and auxiliary engines). These emissions are occurring within the strait's boundary.

As no information was provided by the ports, this emission source could not be estimated.



MARITIME TRAFFIC

These emissions concern the emissions from the maritime transport within the strait's boundary. They represent less than 1% of the total emissions.

Local maritime cruise

This source of emissions concerns the ships navigating between Vlorë and Otranto and between Vlorë and Durres. These emissions occur only within the strait's boundary. It represents **55 tCO₂e**.

In 2016, 17 330 tonnes of freight travelled between Vlorë and Otranto, mainly cement as well as computer parts and iron. 5 269 tonnes of freight travelled between Vlorë and Durres, mainly stone tiles.

o Maritime cruise with ships calling at the strait's ports

This source of emissions concerns all the ships calling at each of the strait's ports (Vlorë, Durres and Brindisi) travelling to or from a port outside of the strait. It represents **31.4 ktCO₂e**. This only includes the part of the trip that is realized within the strait's boundary.

Most of the emissions come from the Port of Brindisi, which handles more than 10 million tonnes of freight while the port of Durres handles more than 2.6 million tonnes of freight and the port of Vlorë only handles 54 611 tonnes of freight. The roro ships in the Port of Brindisi are the main GHG emitters with 18.5 ktCO $_2$ e emitted within the strait's boundary.





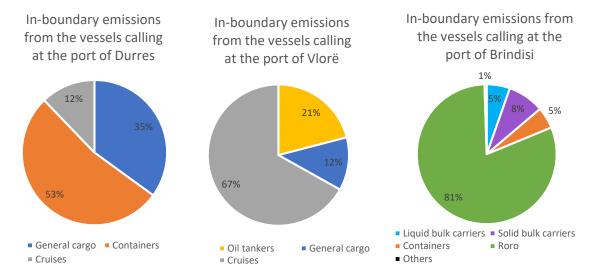


Figure 18 - Repartition of emissions from maritime transport with calls to the strait's ports per type of ship (Source: I Care & Consult, based on data provided by the ports)

Transit maritime cruise

The transit maritime cruise concerns the ships passing through the Strait of Otranto without calls to the strait's ports. As no data could be collected on this traffic, the emissions could not be estimated.

As no data could be collected on this traffic, the emissions could not be estimated.



These emissions concern the emissions from the in-land transport (road and railway transport) within the strait's boundary.

Road transport is the most important source of in-land traffic emissions and represents about 61.5 ktCO2e.

Concerning freight traffic, even though the distance travelled was considered lower for Brindisi and Durres than for Vlorë, the emissions are higher due to a higher amount of goods transported. The road transport is the most important source of emission and the amount of emissions is similar within and outside the strait's boundary.





Emissions from in-land traffic of freight in the Strait of Otranto 140 000 120 000 100 000 80 000 40 000 0 Vlora Emissions from in-land traffic of freight in the Strait of Otranto Train outside the strait's boundary Road outside the strait's boundary Road within the strait's boundary Road within the strait's boundary

Figure 19 - Emissions from in-land traffic of freight in the Strait of Otranto (Source: I Care & Consult)

Concerning the passenger traffic, only the ports of Vlorë and Brindisi provided information on the number of passengers travelling through the ports. The emissions are more important outside of the strait's boundary due to higher distance travelled by the passengers passing by Brindisi.

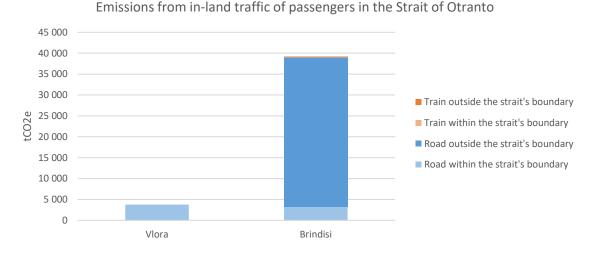


Figure 20 - Emissions from in-land traffic of passengers in the Strait of Otranto (Source: I Care & Consult)



This source concerns the emissions from the industries and from the cities (residential and commercial emissions from energy consumption) which occur only within the strait's boundary. It represents 12 632 ktCO₂e.

Industries

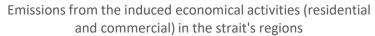
Many industries were identified close to the Port of Brindisi. They emitted **11.2 MtCO₂e** in 2016. The most important emitter of GHG is the *Centrale Termoelettrica di Brindisi Sud* site (Enel Produzione S.p.A) and the *EniPower S.p.A. – Stabilimento di Brindisi*, located on the Petrochemical Pole of Brindisi, near the port.





Cities

The emissions from cities were estimated based on the number of inhabitants in the NUTS 3 region whose shore correspond to the strait (Lecce and Brindisi in Italy). For Albania, which is not part of the European Union, the cities of Vlorë and Durres were taken into account in this study. They emitted about **1 468 ktCO**₂e in 2016.



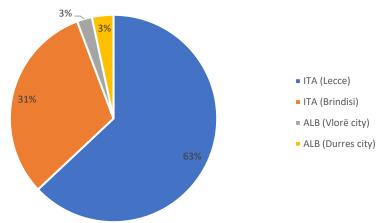


Figure 21 - Emissions from the Strait of Otranto's regions (Source: I Care & Consult)





3. Decarbonization paths

In the last years, the threat of climate change is being addressed globally by the United Nations Framework Convention on Climate Change (UNFCCC). The EU emissions represent about 10% of total global emissions and its Member States have ratified the UNFCCC's Kyoto Protocol in 1997 and the Paris Agreement in 2015, setting emission targets to limit the global emissions and keep global warming below 2°C. The EU aims to decarbonize its energy system and cut its greenhouse gas emissions by 80% to 95% by 2050. To achieve this goal, it has set a binding target of reducing emissions by at least 40% compared to 1990 levels by 2030. Many European countries have adopted national programmes aimed at reducing emissions.



Italy adopted its National Energy Strategy in 2017. The document results from a participative process that involved the Italian Parliament, the Regions and over 250 stakeholders, including associations, companies, public entities, citizens and representatives of academia. The objective of the strategy is to make the national energy system more competitive, more sustainable and more secure. The main targets are to reduce the final energy consumption by a total of 10 MtCO $_2$ e by 2030, reaching a 28% share of renewables in total energy consumption by 2030 and a 55% share of renewables in electricity consumption by 2030, strengthening supply security, narrowing the energy price gap, furthering sustainable public mobility and eco-friendly fuels and phasing out the use of coal in electricity generation by 2025.

The Italian National Energy Strategy 2017 lays down the actions to be achieved by 2030 in accordance with the long-term scenario drawn up in the EU Energy Roadmap 2050, which provides a reduction of emissions by at least 80% from their 1990 levels.

The target set concerning the greenhouse gas emissions is to reduce them by 39% by 2030 and by 63% by 2050, compared to 1990.

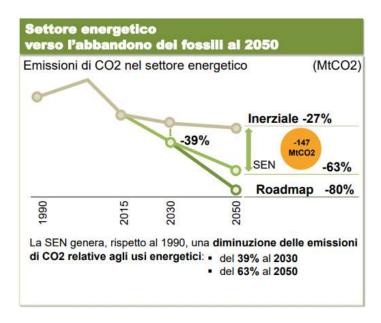


Figure 22 - Predicted evolution of greenhouse gas emissions in the energy sector (Source: Italian National Energy Strategy, Ministero dello Sviluppo Economico, 2017)

The National Energy Strategy includes sectoral emissions reduction:





- Industry (including energy industry): reduction of emissions by 38% between 2016 and 2030
- Buildings: reduction of emissions by 24% between 2016 and 2030
- Transport: reduction of emissions by 16% between 2016 and 2030
- Agriculture and other emissions: reduction of emissions by 4% between 2016 and 2030

Moreover, many cities in Italy are signatories of the Covenant of Mayors, such as Brindisi. With an adhesion in 2012, Brindisi committed to an overall CO2 emission reduction target of 20% by 2020 compared to 2007 and submitted an action plan, approved in 2014, to achieve this target.

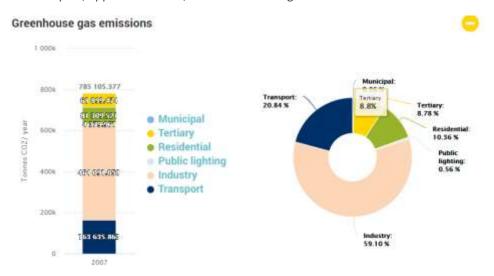


Figure 23 - Baseline Emission Inventory of Brindisi (Source: Covenant of Mayors)



ALBANIA

Albania's emissions represent only 0.017% of global emissions and the net per capita GHG emissions was 2.76 tCO_2e , which is less than a quarter of the emissions from high-income countries. Even though, Albania is a country with a low-carbon economy and a low per capita GHG emissions, the Albanian government adopted the INDC (Intended Nationally Determined Contributions) document in 2015 and submitted it to the UNFCCC Secretariat. Albania is committed to reduce its CO_2 emissions by 11.5% as compared to the baseline scenario for the period 2016 to 2030 and to decouple greenhouse gas emissions from its economic growth. This reduction is equivalent to a CO_2 emission reduction of 708 $ktCO_2e$ by 2030 and to an increase of the emissions by 47% by 2030 compared to 2009 in the Energy & Transport sector. The long-term goal is to achieve 2 tCO_2e /capita in 2050.





45% 9000 -8500 40% 8000 7500 35% 7000 6500 30% 6000 5500 25% 5000 20% 4000 3500 15% 3000 2500 2000 1500 1000 Baseline Scenario (according to TNC) Mitigation Scenario (according to TNC)

Figure 5.23 Baseline Scenario, Mitigation Scenario and the evaluated reduction potential of GHG emissions (in Gg of CO, eg.) from the energy&transport sector

Figure 24 - Evolution of Emissions from energy & transport in the Baseline and Mitigation scenarios (Source: Third National Communication of the Republic of Albania on Climate Change)

In the context of the EU Accession process, Albania also transposes and implements parts of the EU legislation, including legislation on climate change. As one of the Contracting Parties of the Energy Community Treaty, and in line with the EU 20-20-20 objectives, Albania must adopt a binding national target of 38% of renewables in the final total energy consumption of the country in the year 2020 compared to 2009, within the preparation and adoption of a National Renewable Energy Plan, which was adopted in 2016. Moreover, the first endorsed National Energy Efficiency Action Plan also sets a binding target of 9% of energy efficiency in 2018 compared to 2009.



Maritime transport emits around 1 000 MtCO₂e annually and is responsible for about 2.5% of global greenhouse gas emissions (3rd IMO GHG Study). Shipping emissions are predicted to increase between 50% and 250% by 2050, depending on future economic and energy developments. According to the 2nd IMO GHG Study, ship's energy consumption and CO₂ emissions could be reduced by up to 75% by applying operational measures and implementing existing technologies. The EU and its Member States have a strong preference for a global approach led by the International Maritime Organization (IMO) to reduce the energy consumption and GHG emissions of the shipping sector¹⁶. The European Commission's 2011 White Paper on transport suggests that the EU's CO₂ emissions from maritime transport should be cut by at least 40% from 2005 levels by 2050, and if feasible by 50%. However international shipping is not covered by the EU's current emissions reduction targets.

In 2013, a strategy was set out by the Commission to include maritime emissions into the EU's policy for reducing its domestic GHG emissions. The strategy consists in three steps:

- Monitoring, reporting and verification of CO₂ emissions from large ships using EU ports
- Greenhouse gas reduction targets for the maritime transport sector

¹⁶ Reducing emissions from the shipping sector, European Commission https://ec.europa.eu/clima/policies/transport/shipping_en





- Further measures including market-based measures in the medium to long term

From 2018, the MRV companies (ships over 5000 gross tonnes loading/unloading cargo/passengers at EU maritime ports) are to monitor and report their related CO_2 emissions, submit to an accredited MRV shipping verifier a monitoring plan and submit the verified emissions through THETIS MRV (a dedicated European Union Information system currently under development by the European Maritime Safety Agency).







STRAIT OF OTRANTO

At the strait level, the application of the national objectives (disaggregated by sector) results in a reduction of the emissions by 36% by 2030, compared to 2016. The following table presents the main hypothesis made to estimate the decarbonization path of the Strait of Otranto.

Table 7 - Hypothesis for the estimation of the decarbonization path of the Strait of Otranto

Emission source (within the strait's boundary)		Source of hypothesis	% of reduction	Emissions 2016 (tCO ₂ e)	Emissions 2030 (tCO ₂ e)
Port operations	Ţ	European Commission's target on CO2 emissions from maritime transport	-40% between 2005 and 2050 (corresponding to -12.3% between 2016 and 2030)	NC	NC
Maritime transport		European Commission's target on CO2 emissions from maritime transport	-40% between 2005 and 2050 (corresponding to -12.3% between 2016 and 2030)	31 432	27 566
In-land traffic		Transport target in Italian National Energy Strategy and Albania's Target in INDC	IT: -16% between 2016 and 2030 AL: +47% between 2009 and 2030 (corresponding to +30.6% between 2016 and 2030)	64 157	58 380
Industries	i ##	Industry target in Italian National Energy Strategy and Albania's Target in INDC	IT: -38% between 2016 and 2030 AL: +47% between 2009 and 2030 (corresponding to +30.6% between 2016 and 2030)	11 163 390	6 921 302
Buildings		Building sector target in Italian National Energy Strategy and Albania's Target in INDC	IT: -24% between 2016 and 2030 AL: +47% between 2009 and 2030 (corresponding to +30.6% between 2016 and 2030)	1 468 585	1 161 873
TOTAL				12 727 564	8 169 120

This reduction is due to the actions implemented at all the levels (national, regional, local) and corresponds to the path that is being taken with the actual strategies. The emissions can also be reduced by implementing new actions specifically on the strait's boundary.





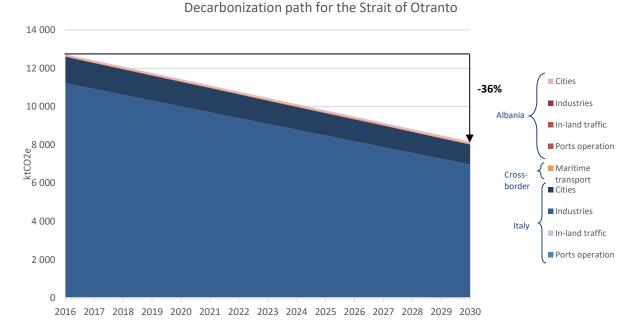


Figure 25 - Decarbonization path for the Strait of Otranto, based on the national sectorial targets (Source: I Care & Consult)

4. Towards the implementation of action plans

The Strait of Otranto developed a joint action plan with the Strait of Corfu contributing to the reduction of the strait's emissions. The action plan has 5 main actions. These actions are mainly cross-border actions to strengthen the cooperation between the three regions:

- "Local government supporting climate change mitigation": this action consists of supporting the cities of the strait engaging in the Covenant of Mayors and creating a "Covenant of Otranto Strait Mayors", as well as establishing an Otranto strait network for mitigation and adaptation to climate change and establishing a Memorandum of Understanding for Climate resilience between the regions (Region of Puglia, region of Ionian Islands and Regional Council of Vlora).
- "Energy efficiency certificate for the port buildings": this action consists of proposing energy efficiency certificate and measures for the port buildings in Vlora, Corfu and Brindisi, as well as promoting the methodology to be recommended for all public buildings in the regions.
- "Energy efficiency on maritime transport vessels Green shipping": this action consists of promoting a green shipping model, securing energy efficiency in maritime vessels by promoting sustainable shipping drivers, research and innovation and policy recommendation.
- "Energy Communities info pack & Awareness raising": the local communities need to be informed about energy efficiency, climate change and the low carbon economy. A web platform will be developed acting as a knowledge reference point for the support of energy communities.
- "Green certificate for tourism": this action consists of creating a green certificate for the tourism sector.

The following table presents the actions reducing emissions from each source.





Table 8 - Impacts of the action of the Strait of Otranto on each source of emissions

Thematic axes			Cross-border	
Port operations	Ţ	•	Energy efficiency certificate for the port buildings	
Maritime traffic		•	Energy efficiency on maritime transport vessels – Green shipping	
Induced economical activities	<u> </u>	•	Local government supporting climate change mitigation Green certificate for tourism	





Annexes

1. Carbon footprint methodologies and key studies

a) Port level

The WPCI¹⁷ (World Ports Climate Initiative) developed a free on-line carbon footprinting calculator (scope 1, 2 and 3), that gave the ports guidance and an operational calculating tool to start developing a GHG inventory¹⁸.



The WPCI has been launched in 2008 under the initiative of the *International Association of Ports and Harbors* (IAPH) and gathers 55 of the world's key ports. They all adopted the "C40¹⁹ *World Ports Climate Declaration*", to mitigate the GHG emissions related to ports and port operations, while maintaining their roles as transportation and economic hubs.

Amongst the PASSAGE project stakeholders, the ports of Tallinn and Dunkirk are members of the WPCI. The Finnish Port Association was also one of the participating ports to the WPCI project "Carbon Footprinting Guidance Document", which is led by the port of Los Angeles.

As transportation hubs for sea-based and land-based activities, ports are crucial stakeholders within a strait. The GHG emissions assessment generated in their operational perimeter has been the subject of some scientific works. Two of them are briefly presented below.

At a port activity level, Winnes H. et al. has carried out *Reducing GHG emissions from ships in port areas;* a case study of the Port of Gothenburg in 2010. Five different operational modes have been identified and considered to calculate the port GHG emissions (see graph opposite).

The study provides quantification of the potential reduction in ships' GHG emissions that ports might implement, as well as projections and scenarios.

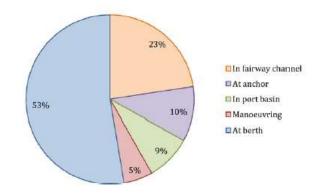


Figure 26 - GHG emissions divided in five different operational modes, from ships to and from Port of Gothenburg in 2010 (Source: Winnes H. et al.)

In Estimating GHG emissions of marine ports – The case of Barcelona (2011), Villalba and Gemechu asked different stakeholders to collect data and to assess land-based and vessel movement emissions in the port area. For example, a collaborative work with the port authority enabled reliable data on vessel operations to be collected. It is calculated that half of the 331 390 tonnes of CO₂ equivalents of the Port of Barcelona in 2008 are due to land-based activities, namely energy consumption. The vessel

¹⁷ http://wpci.iaphworldports.org/

¹⁸ http://wpci.iaphworldports.org/carbon-footprinting/

¹⁹ C40 is mainly known as the "C40 cities" network, which is a climate leadership group. See following section.





movement emissions in the port limits (ships arriving/departing into/from the port, manoeuvring, hotelling) reached 175 184 tonnes of CO₂ equivalents.

b) Logistics and maritime traffic



As straits are significant maritime and in-land transportation hubs, the GLEC framework 20 (Global Logistics Emissions Council Framework) is a relevant tool to assess the related GHG emissions. Indeed, as stated by Blanco 21 and reminded in the GLEC framework, "there are inherent differences in both the amount and the detail of the data available to the different stakeholders throughout the transport chain".

The methodology has been designed as a company-specific guidance to join forces with the GHG Protocol Corporate Standard. For each mode of transportation, it provides a synthetic analysis namely similarities, differences, uncertainties etc. of the main base methodologies identified.



Figure 27 - Principle of the GLEC Framework (Source: Smart Freight Centre)

Among the rich bibliography dealing with maritime traffic related GHG emissions (some are also dealing in parallel with the port area ones) one of the most relevant scientific paper that has been identified is A comprehensive inventory of ship traffic exhaust emissions in the European sea areas in 2011. AIS²² data and the STEAM²³ emission model have been used to model emissions (CO₂, NOx, SOx, CO, and PM2.5) induced by ship traffic in European sea areas. The figure below presents the geographic distribution of shipping emissions of CO₂. Among the 30 "hotspots" identified in terms of ship emissions in 2011, some are part of the PASSAGE project area: English Channel, Gulf of Fehmarn, Tallinn harbour, Livorno harbour.

²⁰ http://www.smartfreightcentre.org/glec/glec-framework

²¹ Blanco, E. E. (2013). Assessing Global Freight Emission Methodologies

²² Automatic Identification System

²³ Ship Traffic Emission Assessment Model





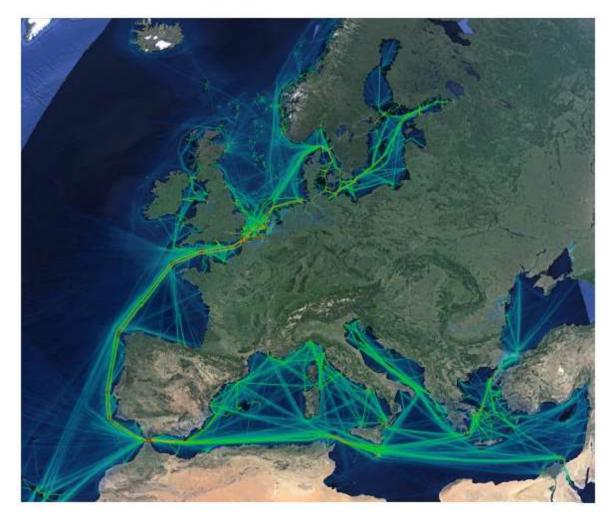


Figure 28 - Geographic distribution of shipping CO₂ emissions in Europe in 2011 (Source: Finnish Meteorological Institute)

Another relevant methodological approach using AIS data to calculate maritime traffic CO₂ emissions in a strait was proposed in 2010 by See Chuan Leong and al.: *Estimation of CO₂ Emission from Marine Traffic in Singapore Straits Using AIS Data*. By assessing the GHG emissions by ship type daily, it has been demonstrated that such a tool is vital for port authorities to manage their emissions, and that quite simple solutions like reducing operational speed and increasing gross tonnage could lead to compliance with IMO (International Maritime Organization) GHG target. During the monitoring period (January to June 2014), they estimated that on average, each ship emitted 14 432 tons of CO₂ daily.

 AIS^{24} data and the STEAM²⁵ emission model have also been used by Tichavska and Tovar in *Port-city Exhaust Emission Model: an approach to Cruise and Ferry operations in Las Palmas Port.* This methodology enables both CO_2 and air pollutant emissions (NOx, SOx, PM, CO) to be assessed and classified in four mains classes: hotelling, manoeuvring, cruising operations and weight.

In *The role of sea ports in end-to end maritime transport chain emissions* (2014), Gibbs et al. use the UK – Department for Transport (DfT) Maritime Statistics Data to assess some Great British port GHG emissions.

²⁴Ibid.

²⁵Ibid.





c) Cities and hinterland activities



The GHG protocol for Cities²⁶ (Global Protocol for Community-Scale GHG Emission Inventories – GPC) has similar strait hinterland issues, as these territories have different types of economic activities (cities, industries, transportation, etc.) and possibly different ways to account their GHG emissions.

It provides reporting and accounting principles at a territorial scale, for instance, to define the inventory boundary. It also brings global and sector-specific accounting and reporting guidance. Furthermore, the methodology enables stakeholders to understand how a GHG inventory can be used to set mitigation goals and track performance over time. The "GPC protocol" is an accounting-reporting standard for cities, which has been developed by World Resources Institute, C40 Cities Climate Leadership Group and ICLEI – Local Governments for Sustainability (ICLEI). It is one of the standard coming from the GHG Protocol Initiative, a partnership launched at the end of 1990's between the World Resources Institute (WRI) and the World Business Council for Sustainable Development (WBCSD).

In *The role of sea ports in end-to end maritime transport chain emissions* (Gibbs and al. 2014), the road traffic emissions, which might be considered as hinterland activities, are assessed.

It is demonstrated that the road GHG annual emissions (138 kt of CO_2) of the UK's busiest container port of Felixstowe are twice higher than the port ones (71.5 kt of CO_2).

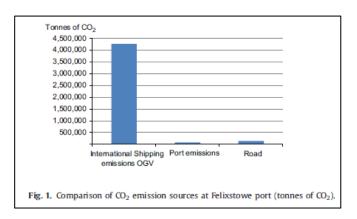


Figure 29 - Comparison of CO2 emission sources at Felixstowe port (Source: Gibbs and al. 2014)

In comparison, the International Shipping OGV (Ocean Going Vessels) emissions seem to be huge, but it is worth noting that they are assessed for the overall origin-destination distance of the journey.

Other in-land activities are also generating GHG emissions within a strait area, like cities, industries or companies. These stakeholders carry out GHG inventories due to legal obligation or on voluntary bases.



For instance, through the Covenant of Mayors for Climate & Energy and the setting of its Sustainable Energy Action Plan (SEAP), the Livorno municipality (Corsica Channel) has carried a GHG inventory.

In the industry sector, company emissions could be registered in on-line data base, like in France in the IREP data base which collect pollutant emissions from the main industrial companies.

Carbon emissions study in the European Straits of the PASSAGE project

²⁶ http://www.ghgprotocol.org/greenhouse-gas-protocol-accounting-reporting-standard-cities









Other types of companies operating at a strait level might also achieve out GHG inventory, like the Getlink Group (formerly Eurotunnel Group) Eurotunnel Group. At cross-border scale (UK + France) and every two years, Carbon Trust Standard realizes a GHG inventory audit, and the Getlink Group also discloses for the same perimeter the GHG emissions in the yearly registration document. The Port of Dover is also a Carbon Trust Standard holder and aims to become a carbone neutral port.

On the French shore, Eurotunnel has achieved a legally obligated carbone footprint in 2012 and 2015. Since the first GHG inventory has been carried out at cross-border scale (2006, sea breakdown in the chart opposite), Eurotunnel has set several measures to reduce their GHG emissions.

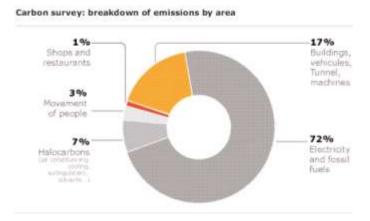


Figure 30 - Eurotunnel GHG emissions (Source: Eurotunnel, Environmental Report 2007)

2. Calculation methodologies

a) Port operations

i. Energy consumptions

The energy consumptions (such as electricity, natural gas, diesel, petrol, propane...) are provided by the Port Authority. The emission factors are taken from the national databases when available:

- France: ADEME Base Carbone²⁷ (Environment and Energy Management Agency), last updated in 2016, except for the electricity emission factor which was updated in 2014.
- UK: DEFRA / DECC²⁸ (Department for Environment Food & Rural Affairs and Department of Energy & Climate Change), last updated in 2016.
- Finland: Motiva²⁹, last updated in 2017 and Helsinki-Uusimaa Regional Council³⁰, last updated in 2015.

²⁷ www.bilans-ges.ademe.fr

²⁸ https://www.gov.uk/government/collections/government-conversion-factors-for-company-reporting

²⁹ https://www.motiva.fi/ratkaisut/energiankaytto_suomessa/co2-

laskentaohje_energiankulutuksen_hiilidioksidipaastojen_laskentaan/co2-paastokertoimet

³⁰ https://www.uudenmaanliitto.fi/tietopalvelut/uusimaa-tietopankki/aineistot/alue_ja_ymparisto





For the other countries, where no national database was found, the IEA (International Energy Agency) factors specific to the country are used for electricity consumption and the DEFRA or IPCC (Intergovernmental Panel on Climate Change) standard factors are used for fuel consumption.

ii. Ships in port areas

To estimate the annual emissions from the ships in port areas (manoeuvring, at berth, etc.), the following equation is used (from the publication "Estimating GHG emissions of marine ports – the case of Barcelona" by Gara Villalba and Eskinder Demisse Gemechu):

Emission
$$(tCO2e) = N \times PO(kW) \times t \text{ (hours)} \times LF(\%) \times EF(tCO2e/kWh)$$

With:

N = Number of ships calling to the port

PO = power output in KW

t = time spent in the port in the mode considered in hours

LF = load factor

EF = emission factor in tCO₂e/kWh

This equation is used to estimate the emissions from the main engine and the auxiliary engine in the different modes (manoeuvring, at berth, etc.).

Number of ships per type

The number of ships calling to the port for 2016 is provided by the Port Authority, per type of ship if available.

Power output

The power output of each engine depends on the type of ship and the size (gross tonnage). When local data is available, it is directly used to estimate the emissions. When no local data is available, the European Environment Agency (EEA) provides, in the "EMEP/EEA air pollutant emission inventory guidebook" from 2002, a table with the estimated main engine power and auxiliary engine power by ship type and gross tonnage. As the gross tonnage is not always known, the average for all ships is taken into account, as indicated in the table below:

Table 9 - Estimated power of engines per ship type (Source: European Environment Agency, 2002)

Ship type	Estimated main engine power (kW) – total power of all engines	Estimated auxiliary power kW (medium speed)
Liquefied gas tanker	5 900	300
Chemical tanker	5 700	300
Other tanker	7 900	300
Bulk dry cargo	9 100	380
General cargo	3 300	175
Container	16 300	1 400
Passenger/ Ro-ro	12 800	1 000





Time spent in port areas

This data is provided by the Port Authority, per type of ship when available.

Load factor

As engines are not operating at their maximum tested power, the load factor needs to be considered. When local data is available, it is used directly to estimate the emissions. However, when no data is available, two modes are considered: manoeuvring and in port (including the un-loading, loading and hoteling phases). The following hypothesis, from the report "Quantification of emissions from ships associated with ship movements between ports in the European Community" by the European Commission (2002), are taken into consideration:

Table 10 - Load factor per engine and mode (Source: European Commission, 2002)

Mode	% load of MCR for Main Engine operation	% load of MCR for Auxiliary Engine operation
Manoeuvring	20%	50%
In port	20%	40%
At sea	80%	30%

Emission factors

As recommended in the publication "Estimating GHG emissions of marine ports – the case of Barcelona" by Gara Villalba and Eskinder Demisse Gemechu, the following emission factors are considered (taken from ICF International, 2009³¹):

- For primary engine at intermediate speed: 677.91 gCO₂/kWh and 0.004 gCH₄/kWh, corresponding to 678 gCO₂e/kWh;
- For auxiliary engine: 722.54 gCO $_2$ /kWh and 0.004 gCH $_4$ /kWh corresponding to 722.6 gCO $_2$ e/kWh.

b) Maritime transport

i. Local maritime cruise

The number of trips by ferries between the two shores in 2016 is provided by the Port Authorities or the Maritime Companies. The distance travelled is estimated with the websites "searoutes.com" and "sea-distances.org".

The emission factor used is taken from the Base Carbone (ADEME, France): 419 kgCO2e/km for Ro-Pax cargoes – passengers.

Carbon emissions study in the European Straits of the PASSAGE project

³¹ ICF International, 2009. Current methodologies in preparing mobile source port related emission inventories. US EPA





ii. Maritime cruise with calls to the strait's ports

The Port Call Statistics in 2016 are provided by the Port Authorities, containing if possible the type of ship, the weight transported by each ship and the origin/destination. When all the information is available, the following equation is used to estimate the emissions:

Emissions of one ship $(tCO2e) = d(km) \times w$ (tonnes) \times EF (tCO2e/t.km)

With:

d = distance travelled by the ship. This distance is disaggregated between the distance within the strait's boundary and outside the strait's boundary.

w = weight transported by the ship.

EF = emission factor

Distance

The distance is estimated based on the country of origin/destination following "the CERDI-seadistance database" (by Bertoli S., Goujon M. and Santoni O. in 2016).

The distance within the strait's boundary is determined with Google Earth, based on the distance of the strait (see figures in the preceding chapter), the location of the port within the strait and the direction of the vessels. The distance was determined as showed in the following figure (in blue), with the distance from the port (here Tallinn) to the centre of the strait added to the distance from the centre to the limits of the boundary:



Figure 31 - Example of the determination of the distance within the strait's boundary (Source: I Care & Consult)

The following table presents the distances for each port, depending on the direction of the vessel. Those distances can also be seen in the figures of the geographic strait boundary.





Table 11 - Distances within the strait's boundary for each port (Source: I Care & Consult)

Strait	Ports	Distance from North / East (km)	Distance from South / West (km)
	Calais	47	78
Dover / Pas de	Boulogne-sur- Mer	87	50
Calais Strait	Dunkirk	45	122
	Dover	62	81
Gulf of Finland	Helsinki	94	144
Guii oi Finiano	Tallinn	123	105
Corsica Channel	Bastia	79	40
Corsica Channel	Livorno	63	114
	Vlorë	150	75
Strait of Otranto	Durres	95	200
	Brindisi	94	130
	Sarandë	50	/
Strait of Corfu	Igoumenitsa	/	26
	Corfu	/	43

The distance outside the strait's boundary is determined by subtracting the distance within the strait's boundary to the total distance between the two ports (on within the strait and the other anywhere in the world).

In the case of the strait of Corfu, given the geography of the strait, almost no ships travel towards the north/east side of the strait, from the ports of Corfu or Igoumenitsa and no ships travel towards the south/west side of the strait from the port of Sarandë. Therefore, the distances linked to those sides are not considered here. **Emissions factors**

The following emission factors are used (from DEFRA/DECC, updated in 2016):

Table 12 - Emission factors per type of ship (Source: DEFRA/DECC, 2016)

Type of vessel	Emission factor (in kgCO₂e/t.km)
Bulk carriers	0.0042
Container	0.0190
Gas carriers	0.0136
General dry cargo	0.0156
Passengers ships	0.4581
Roro	0.0607
Tankers	0.0106
Other (average emission factor)	0.0123





The weight is usually provided in the Port Call Statistics, however if this information is missing the weight can be estimated in some cases (based on information provided by the Port Authority or based on the average weight of the other ships of the same type). In other cases (for passenger ships), the weight transported is not known but the number of passengers is provided. In this case, the emission factor (in kgCO₂e/pass.km) is taken from the Base Carbone (ADEME), updated in 2016:

Table 13 - Emission factor for passenger ship (Source: ADEME, 2016)

Type of vessel	Emission factor (in kgCO₂e/pass.km)	
Ferries / Cruise ships	0.468	

iii. Transit maritime cruise

The Ships databases in 2016 are provided by the Coastguard Agencies (where existing). They contain, when available, the type of ship, the weight transported by each ship and the previous port of call and the next port of call. When all the information is available, the following equation is used to estimate the emissions:

Emissions of one ship $(tCO2e) = d(km) \times w$ (tonnes) \times EF (tCO2e/t.km)

With:

d = distance travelled by the ship. This distance is disaggregated between the distance within the strait's boundary and outside the strait's boundary.

w = weight transported by the ship.

EF = emission factor

Distance

The distance is estimated based on the country of origin/destination following "the CERDI-seadistance database" (by Bertoli S., Goujon M. and Santoni O. in 2016).

The distance within the strait's boundary is determined with Google Earth, based on the limits of the strait (as defined in the previous chapters), including the main ports identified:

Table 14 - Distance within the strait's boundary (Source: I Care & Consult)

Strait	Distance of the strait (km)
Dover / Pas de Calais Strait	100
Gulf of Finland	164
Fehmarnbelt	30
Corsica Channel	55
Strait of Otranto	130
Strait of Corfu	102

The distance outside the strait's boundary is determined by subtracting the distance within the strait's boundary to the total distance between the two ports.





Emissions factors

The following emission factors are used (from DEFRA/DECC, updated in 2016):

Table 15 - Emission factors per type of ship (Source: DEFRA/DECC, 2016)

Type of vessel	Emission factor (in kgCO₂e/t.km)
Bulk carriers	0.0042
Container	0.0190
Gas carriers	0.0136
General dry cargo	0.0156
Passengers ships	0.4581
Roro	0.0607
Tankers	0.0106
Other (average emission factor)	0.0123

When no information is available on the weight transported, an emission factor in kgCO₂e/veh.km can be used (from the Base Carbone, ADEME, updated in 2016):

Table 16 - Emission factors per type of ship (Source: ADEME, 2016)

Type of vessel	Emission factor (in kgCO₂e/veh.km)
Tankers	264
General cargo	145
Passenger ship	495
Other (average)	250

c) Induced economical activities

i. Industry

To calculate the GHG emissions due to industry in each strait, the following methodology is used.

Only the industries participating to the EU emissions trading systems are considered. These industries are identified by cities of the straits on the EU emission trading system website³². All the industries with an address in a city of the straits are taken into account.

For each industry identified, the "verified emissions" stated on the EU emission market for 2016, in tons of CO_2 are used. This data is available on the EU emission trading system website. If no emission is stated for 2016 for an industry, emissions for the most recent year are considered.

The emissions of all the industries identified are then summed by strait.

Carbon emissions study in the European Straits of the PASSAGE project

³² http://ec.europa.eu/environment/ets/napMgt.do;EUROPA_JSESSIONID=g-XI_tEdLcXzpzAnMq_2ayWUZgqc7WTsxueOiGYcOiCF6INAaes1!1777535239?languageCode=en





ii. Cities

To estimate the annual GHG emissions due to the residential and economical activities in the cities of the strait, the following methodology is used:

$$Emissions (tCO2e) = \frac{Emissions_{National}}{Population_{National}} \times Population_{Local}$$

The national emissions from residential and economical activities are taken from the national inventories submitted to the United Nations Framework Convention on Climate Change (UNFCCC)³³ (1.A.4 Energy - Fuel combustion - Other sectors, including commercial/institutional, residential and agriculture/forestry/fishing). Most of the European countries are included in the Annex I Parties and need to report their emissions every year. The latest reporting year is 2014. For the countries (such as Albania) that are not part of the Annex I Parties, the inventory need to be submitted every four years. The latest submission was taken into account (2009 for Albania). However, it is considered that it is still representative for the year of reporting (2016).

The emissions (in tCO_2e) are then divided by the population of the country, in the reporting year when available (if the population in the reporting year is not available, the closest available year is taken into account). The population number are taken from the national statistics institute of each country:

- France: INSEE³⁴ (2014)
- UK: Office for National Statistics³⁵ (2014)
- Estonia: Statistics Estonia Eesti Statistika³⁶ (2017)
- Finland: Statistics Finland Tilastokeskus³⁷ (2016)
- Denmark: Statistics Denmark / Statbank Denmark Danmarks Statistik / Statistikbanken³⁸
 (2014)
- Germany: Statistische Ämter des bundes und der länder³⁹ (2014)
- Italy: Istat Instituto nazionale di Statistica⁴⁰ (2014)
- Albania: Instat Instituti i Statistikave⁴¹ (2009)
- Greece: Hellenic Statistical Authority⁴² (2011)

Finally, the regions considered for the residential and commercial activities are the NUTS 3 regions which shore correspond to the strait. The information on the population in the NUTS 3 regions is given in Eurostat⁴³, last updated in 2015.

³³ http://unfccc.int/national reports/annex i ghg inventories/national inventories submissions/items/9492.php

https://www.insee.fr/fr/accueil

³⁵ https://www.ons.gov.uk/

³⁶ https://www.stat.ee/en

³⁷ http://tilastokeskus.fi/index en.html

³⁸ http://www.statbank.dk/statbank5a/default.asp?w=1366

³⁹ http://www.statistik-portal.de/Statistik-Portal/

⁴⁰ http://www.istat.it/en/

⁴¹ http://www.instat.gov.al/al/home.aspx

⁴² http://www.statistics.gr/en/greece-in-figures

⁴³ http://ec.europa.eu/eurostat/cache/RCI/#?vis=nuts3.population&lang=en





Exceptions:

- For Dover / Pas de Calais Strait, the NUTS3 areas Pas-de-Calais and Nord include the Lille Metropolis and the Mining Basin, which are not linked to the strait's activities. It was then decided to include only the population of the "Pôle Métropolitain Côte d'Opale" Additional of the "Pôle Métropolitain Côte d'Opale".
- For the Gulf of Finland's Strait, the Helsinki-Uusimaa Region is much larger than the area of the Port of Helsinki, it was then decided to consider only the capital region (i.e. Helsinki, Espoo, Vantaa and Kauniainen)⁴⁵.
- For Albania, as it is not part of EU, there are no NUTS 3 regions. Then, it was decided to take into account only the main cities on the shore⁴⁶ (Vlora and Durres for the strait of Otranto and Saranda, Konispol, Himarë and Delvinë for the strait of Corfu).

iii. Tourism

To estimate the GHG emissions due to the tourism activities in the cities of the strait, the following methodology is used:

Emissions
$$(tCO2e) = N \times EF (tCO2e/night)$$

with:

N = Number of tourist nights in the areas

EF = emission factor for a hotel night (in tCO₂e/night)

The number of tourist nights in the areas is provided by the stakeholders.

The emission factor is estimated based on an average energy consumption for one hotel night, multiplied by the country's emission factors for energy consumption (for example: Base Carbone, 2016 for France and DEFRA, 2016 for the UK). The average energy consumption is taken from a study by ADEME, EU Ecolabel and AFNOR, which give the following data for a two stars hotel: an electricity consumption of 74.8 kWh/night and a water consumption of 335 l/night (last update in 2012).

d) Inland transport

i. Passengers transport

This paragraph presents the methodology used to quantify the annual GHG emissions due to the transport of the passengers that land and board in the ports of the studied straits in 2016. Two different transport modes are considered: rail and road.

For these two modes, the data needed for the calculation are the following:

- Number of passengers who travel by the transport mode
- Average distance achieved with this transport mode
- Emission factor to convert the distance in GHG emission

The following equation is then used to calculate the GHG emission by port:

Emissions
$$(tCO2e) = N \times d(km) \times EF(tCO2e/km)$$

Carbon emissions study in the European Straits of the PASSAGE project

⁴⁴ http://www.pm-cote-opale.fr/

⁴⁵ http://pxnet2.stat.fi/PXWeb/pxweb/en/StatFin/StatFin vrm vaerak/statfin vaerak pxt 028.px/?rxid=30517e03-c548-45ac-a2d7-6ec0fa5cabe9

⁴⁶ http://pop-stat.mashke.org/albania-cities.htm





with:

N = Number of passengers landing and boarding in the studied port

d = Average distance

EF = Emission factor (tCO₂e/pass.km)

For each mode of transport, assumptions used to obtain the necessary data are presented below.

Road

Number of passengers who travel by road

To obtain the number of passengers who land or board in the studied port and travel by road, the total number of passengers who land and board in the ports and the modal share of the port is used:

$$N = Np \times MS$$

with:

N = Number of passengers travelling by road and landing or boarding in the port (and Channel Tunnel in the case of the Dover / Pas de Calais Strait)

Np = Number of passengers landing or boarding in the port (in total)

MS = Modal share for road (in %)

The number of passengers who land or board in the ports in total is given by the port's authorities, as well as the modal share, if available.

If no information is available on the real modal share in the studied ports, the average national modal shares for 2015 are used. They are obtained on the Eurostat website⁴⁷ for all the European countries.

Average distance

The average distance is considered equal to the distance between the port and the capital of the port's country. The average distance is then different for all the cities, even in the same strait. This distance is estimated thanks to Google Maps and the shortest itinerary is chosen.

Exception: the islands

For islands (ports of Bastia, Corfu and Lefkimi), the distance to the capital is not relevant.

Therefore, the average distance arbitrary chosen is the distance between the port and the furthest cost of the island, divided by 2.

This distance is disaggregated between the distance within the strait's boundary and outside the strait's boundary, in order to provide a complete picture of the emissions directly emitted in the strait's boundary, and the emissions induced by the strait but emitted outside of the boundary.

The strait's boundaries that are considered are the ones of the port region (NUTS3) and the distance between the port and the region boundary is estimated (named "NUTS3 Distance") (see schema below). To know the boundary of the region (NUTS3), the Eurostat website⁴⁸ is used and GoogleMaps is then used to estimate the distance. The disaggregation is then done as presented in the schema below, depending on the comparison between the average distance and the NUTS3 distance.

⁴⁷ http://appsso.eurostat.ec.europa.eu/nui/show.do?dataset=tran_hv_psmod&lang=fr

⁴⁸ http://ec.europa.eu/eurostat/cache/RCI/#?vis=nuts3.population&lang=en





If Average distance > NUTS3 Distance

Distance within the strait = NUTS3 Distance
Distance outside the strait = Average distance — Distance within the strait

If Average distance < NUTS3 Distance

Distance within the strait = Average distance Distance outside the strait = 0 km

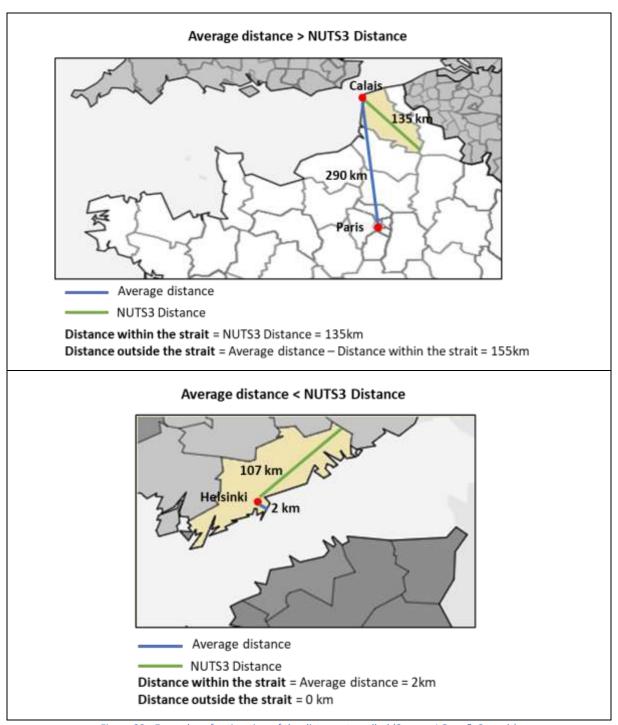


Figure 32 - Examples of estimation of the distance travelled (Source: I Care & Consult)





Emission factor

The emission factor used is "Voiture particulière – Puissance fiscale moyenne, motorisation moyenne" ("Private car, Average fiscal power, Average motorization"), from the ADEME database "Base Carbone"49 (updated in 2016). It is considered to be the same for all the countries.

The average load factor considered is two persons per car, considering the higher value of the European Environment Agency for travel and leisure⁵⁰.

As the unit of the emission factor is kgCO₂e/km, it is divided by two to take into account the load factor.

Table 17 - Example of calculations for on-road passenger transport

Example: Gulf of Finland - Passenger transport - Road

The steps for the calculation of the GHG emissions due to transport passenger by cars in Gulf of Finland are presented below:

Number of passenger for each port (landing and boarding):

Port	Passengers
Helsinki	11 974 000
Tallinn	10 173 300

Pieces of data obtained through port authorities.

Modal share:

National data used.

Country	Modal share
Finland	0.95
Estonia	0.98

Pieces of data available on Eurostat.

> Average distance

Country	Average distance (km) = distance to capital
Helsinki	2
Tallinn	2

Results are rounded to the nearest km.

Emission factor

The emission factor used is 0.127 kgCO₂/pass.km

Results

Number of passengers × Modal share × Average distance (km) × Emission factor (kgCO₂ /pass.km) 1000

= Emissions (tCO_2)

Port	Passengers	Modal share	Average distance (km)	Emission factor (kgCO ₂ /pass.km)	Emissions (tCO₂)
Helsinki	11 974 000	0.95	2	0.127	2 878
Tallinn	10 173 300	0.98	2	0.127	2 522

⁴⁹ http://www.bilans-ges.ademe.fr/fr/basecarbone/donnees-consulter/liste-element/categorie/151

⁵⁰ https://www.eea.europa.eu/publications/ENVISSUENo12/page029.html





Rail

Number of passengers who travel by train

To obtain the number of passengers who land or board in the port and travel by train, the same equation than for road is used.

$$N = Np \times MS$$

with:

N = Number of passengers who travel by train and landing or boarding in the port (or travelling through the Channel Tunnel in the case of the Dover / Pas de Calais Strait)

Np = Number of passengers landing or boarding in the port (in total)

MS = Modal share for train (in %)

The number of passengers who land or board in the ports in total are given by the port's authorities, as well as the modal share, if available.

If no information is available on the real modal share in the studied ports, the average national modal shares for 2015 are used. They are obtained on the Eurostat website⁵¹ for all the European countries.

Average distance

The distance travelled in average by the passengers is calculated by country. Thus, the distance is the same for all the ports in a same country (for example Dunkirk, Calais and Boulogne-sur-Mer in France).

To obtain it, a ratio between passengers.km completed in 2015 in the whole country and the number of passengers who travelled in the whole country is calculated. These pieces of data are available on Eurostat⁵² for the European countries.

Exception: the islands

For islands (ports of Bastia, Corfu and Lefkimi), the national average distance can be irrelevant. That is why, specific assumptions are used:

- For Bastia, as the national average distance seems relevant as it is almost entirely included in the NUTS 3 region, this data is then used.
- For Corfu and Lefkimi, it is considered that there are no railways on the island.

In the same way as road transport, this distance is disaggregated between the distance within the strait's boundary and outside the strait's boundary, in order to provide a complete picture of the emissions directly emitted in the strait's boundary, and the emissions induced by the strait but emitted outside of the boundary. The strait's boundaries that are considered are the ones of the port region (NUTS3) and the distance between the port and the region boundary is estimated (named "NUTS3 Distance"). The disaggregation is done depending on the comparison between the average distance and the NUTS3 distance.

If Average distance > NUTS3 Distance

Distance within the strait = NUTS3 Distance

Distance outside the strait = Average distance – Distance within the strait

⁵¹ http://appsso.eurostat.ec.europa.eu/nui/show.do?dataset=tran_hv_psmod&lang=fr

⁵² http://appsso.eurostat.ec.europa.eu/nui/show.do?dataset=rail_pa_total&lang=fr





If Average distance < NUTS3 Distance

Distance within the strait = Average distance Distance outside the strait = 0 km

Emission factor

Emission factors used are those from the ADEME database "Base Carbone"⁵³ (last update in 2016). If the emission factor is available for the port's country, this factor is used.

If the emission factor is not available for the country of the port, an average factor is used, based on all the emission factors available (0,0629 kgCO₂/km.pass)⁵⁴.

For France, numerous factors are available, thus the emission factor "Train Grandes Lignes" is used.

Table 18 - Example of calculations for rail passenger transport

Example: Gulf of Finland - Passenger transport - Rail

The steps for the calculation of the GHG emissions due to transport passenger by train in the Gulf of Finland are presented below:

> Number of passenger for each port (landing and boarding):

Port	Passengers
Helsinki	11 974 000
Tallinn	10 173 300

Pieces of data obtained through port authorities.

➤ Modal share:

National data used.

Country	Modal share
Finland	0.05
Estonia	0.02

Pieces of data available on Eurostat.

Average distance

National data used.

Country	Thousands pass.km	Thousands pass.	Average distance (km)
Finland	4 114 000	75 952	54.2
Estonia	286 000	6 659	43.9

Pieces of data available on Eurostat.

⁵³ http://www.bilans-ges.ademe.fr/fr/basecarbone/donnees-consulter/choix-categorie/categorie/176

⁵⁴ Average emission factor used for Albania and Estonia





Emission factor

Country	Emission factor (kgCO ₂ /pass.km)		
Finland	0.0452		
Estonia	0.0629		

National emission factor available for Finland on the ADEME's database. Average emission factor used for Estonia.

> Results

Number of passengers \times Modal share \times Average distance (km) \times Emission factor (kg CO_2 /pass. km)

1000

= Emissions (t CO_2)

Port	Passengers	Modal share	Average distance (km)	Emission factor (kgCO ₂ /pass.km)	Emissions (tCO ₂)
Helsinki	11 974 000	0,05	54	0.0452	1467
Tallinn	10 173 300	0,02	43	0.0629	549

ii. Freight transport

This paragraph presents the methodology used to quantify the annual GHG emissions due to freight transport (loaded and unloaded goods in the ports of the strait in 2016). Two different modes of transport are considered: rail and road⁵⁵.

For these two modes, data needed for the calculation is the following:

- Tonnage of goods transported by the transport mode
- Average distance achieved with this transport mode
- Emission factor to convert the distance in GHG emissions

The following equation is then used to calculate the GHG emissions by port:

Emissions
$$(tCO2e) = T(t) \times d(km) \times EF(tCO2e/t.km)$$

with:

T = Tonnage of goods going transporting by the transport mode

d = average distance

EF = Emission factor (tCO₂e/t.km)

For each mode of transport, assumptions used to obtain these pieces of data are presented below.

Carbon emissions study in the European Straits of the PASSAGE project

⁵⁵ Except for the port for which data on waterway transport is available (case of Dunkirk)





Road

> Tonnage of good transported by road

To obtain the tonnage of goods passing through studied ports and transported by road, the total tonnage of goods passing through studied ports and the modal share of the port is used:

$$T = Tp \times MS$$

with:

T = Tonnage of good passing through the port and transported by road

Tp = Total tonnage of good passing through the port (and in the Channel Tunnel, in the case of the Dover / Pas de Calais Strait)

MS = Modal share for road (in %)

The tonnage of good passing through the port in total is given by the port's authorities, as well as the modal share, if available.

If no information is available on the real modal share in studied ports, the average national modal shares for 2015 are used. They are obtained on the Eurostat website⁵⁶ for all the European countries.

Average distance

The average distance is calculated by country.

For each European country, the breakdown of tonnage by range of distance is available on Eurostat for national and international traffic. Data for 2016 are used.

The ranges considered are:

- Range 1: <50km
- Range 2: 50-149km
- Range 3: 150-499km
- Range 4: >500km

These pieces of data are available in tonnage and are then converted in percentage.

The average distance is calculated by using the middle mileage for each range with the following equation:

M (km) = 25km x %Range1 + 100km x %Range2 + 325km x %Range3 + 600km x %Range4

These calculated average distances are also used for islands.

As for passenger's transport, this distance is disaggregated between the distance within the strait's boundary and outside the strait's boundary, in order to provide a complete picture of the emissions directly emitted in the strait's boundary, and the emissions induced by the strait but emitted outside of the boundary. The strait's boundaries that are considered are the ones of the port region (NUTS3) and the distance between the port and the region boundary is estimated (named "NUTS3 Distance").

⁵⁶ http://appsso.eurostat.ec.europa.eu/nui/show.do?dataset=tran_hv_frmod&lang=fr





The disaggregation is done depending on the comparison between the average distance and the NUTS3 distance.

If Average distance > NUTS3 Distance

Distance within the strait = NUTS3 Distance
Distance outside the strait = Average distance — Distance within the strait

If Average distance < NUTS3 Distance

Distance within the strait = Average distance Distance outside the strait = 0 km

Emission factor

The emission factor used is "Ensemble articulé – marchandises diverses – PTRA 40T" ("Articulated 40T, Diverse goods"), from the ADEME database "Base Carbone"⁵⁷ (updated in 2016). It is considered to be the same for all the countries

Table 19 - Example of calculations for on-road freight transport

Example: Gulf of Finland – Freight transport - Road

The steps for the calculation of the GHG emissions due to freight transport by road in Gulf of Finland are presented below:

> Tonnage for each port:

Port	Tonnes
Helsinki	11 621 000
Tallinn	20 118 500

Pieces of data obtained through port authorities.

Modal share:

National data used.

Country	Real modal share
Finland	0.74
Estonia	0.67

Pieces of data available on Eurostat.

Average distance

National data used.

Finland 50-149km <50km 150-499km >500km Range 25 100 325 600 Middle km thousands tonnes 146936 67290 49541 1060 53.6 % 24.5% 18.1% 3.9% Average distance (km) = $25 \times 53.6\% + 100 \times 24.5\% + 325 \times 18.1\% + 600 \times 3.9\% = 119.8 \text{ km}$

⁵⁷ http://www.bilans-ges.ademe.fr/fr/basecarbone/donnees-consulter/liste-element/categorie/104





Estonia						
Range <50km 50-149km 150-499km >500km						
Middle km	25	100	325	600		
thousands tonnes 15738		7903	3989	6		
%	57.0%	28.6%	14.4%	0.02%		
%		28.6%		0.02%		

Average distance (km) = $25 \times 57\% + 100 \times 28.6\% + 325 \times 14.4\% + 600 \times 0.02\% = 89.9 \text{ km}$

Pieces of data available on Eurostat.

Emission factor

Country	Emission factor (kgCO ₂ /t.km)		
Finland	0,0946		
Estonia	0,0946		

Results

Tonnage transported (t) \times Modal share \times Average distance (km) \times Emission factor (kg CO_2 /t. km) 1000

= Emissions (tCO_2)

Port	Tonnage	Modal share	Average distance (km)	Emission factor (kgCO ₂ /t.km)	Emissions (tCO ₂)
Helsinki	11 621 000	0.74	119.8	0,0946	97 459
Tallinn	20 118 500	0.67	89.9	0,0946	114 636

Rail

Tonnage of good transported by rail

To obtain the tonnage of goods passing through the ports and transported by rail, the same equation than for road is used.

$$T = Tp \times MS$$

with:

T = Tonnage of goods passing through the port and transporting by train

Tp = Total tonnage of goods passing through the port (and the Channel Tunnel in the case of the Dover / Pas de Calais Strait)

MS = Modal share for train (in %)

The tonnage of goods passing through the port in total is given by the port's authorities, as well as the modal share, if available.

If no information is available on the real modal share in the ports, the average national modal shares for 2015 are used. They are obtained on the Eurostat website58 for all the European countries.

⁵⁸ http://appsso.eurostat.ec.europa.eu/nui/show.do?dataset=tran_hv_frmod&lang=fr





Average distance

The average distance is calculated by country.

To obtain it, a ratio between the tonnes.km completed in 2015 in the whole country and the tonnage transported in the whole country is calculated. These pieces of data are available on Eurostat⁵⁹ for the European countries.

Exception: the islands

For the islands (ports of Bastia, Corfu and Lefkimi), the national average distance is not relevant. Therefore, the national average for road freight transport is used.

This distance is disaggregated between the distance within the strait's boundary and outside the strait's boundary, in order to provide a complete picture of the emissions directly emitted in the strait's boundary, and the emissions induced by the strait but emitted outside of the boundary. The strait's boundaries that are considered are the ones of the port region (NUTS3) and the distance between the port and the region boundary is estimated (named "NUTS3 Distance"). The disaggregation is done depending on the comparison between the average distance and the NUTS3 distance.

If Average distance > NUTS3 Distance

Distance within the strait = NUTS3 Distance
Distance outside the strait = Average distance — Distance within the strait

If Average distance < NUTS3 Distance

Distance within the strait = Average distance Distance outside the strait = 0 km

Emission factor

Emission factors used are those from the ADEME database "Base Carbone" (updated in 2016).

If the emission factor is available for the country of the port, this factor is used.

If the emission factor is not available for the country of the port the average factor for Europe (available on the data base) is used⁶¹.

For France, numerous factors are available, the emission factor "Train de marchandises - motorisation mixte électricité / gazole - marchandises denses" ("Freight train – mixed power – dense goods") is used.

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⁵⁹ http://appsso.eurostat.ec.europa.eu/nui/show.do?dataset=rail_go_grpgood&lang=fr

 $^{^{60}\ \}underline{\text{http://www.bilans-ges.ademe.fr/fr/basecarbone/donnees-consulter/liste-element/categorie/127}$

⁶¹ Average emission factor used for Albania and Estonia





Table 20 - Example of calculations for rail freight transport

Example: Gulf of Finland - Freight transport - Rail

The steps for the calculation of the GHG emissions due to freight passenger by rail in Gulf of Finland are presented below:

> Tonnage for each port (landing and boarding):

Port	Tonnes
Helsinki	11 621 000
Tallinn	20 118 500

Pieces of data obtained through port authorities.

➤ Modal share:

National data used.

Country	Modal share
Finland	0.26
Estonia	0.33

Pieces of data available on Eurostat.

> Average distance

National data used.

Country	Thousand tonnes	Thousand tonnes.km	Average distance (km)
Finland	33 392	8 468 000	253.6
Estonia	286 000	3 117 000	111.2

Pieces of data available on Eurostat.

Emission factor

Country	ntry Emission factor (kgCO ₂ /t.km)	
Finland	0.0201	
Estonia	0.0226	

National emission factor available for Finland on the ADEME's database. Average European emission factor used for Estonia.

Results

 $\frac{\text{Tonnage transported (t)} \times \text{Modal share} \times \text{Average distance (km)} \times \text{Emission factor (kgCO}_2/\text{t. km)}}{1000}$

= Emissions (tCO_2)

Modal **Emission factor Emissions Average Port** Tonnage share distance (km) (kgCO₂/t.km) (tCO₂) 253.6 15 401 Helsinki 11 621 000 0.26 0.0201 111.2 16 685 Tallinn 20 118 500 0.0226 0.33





Exception: Waterways transport

In cases where national modal share's data is available for other transport modes, such as waterway transport, it is considered to be non-material, because the modal share is negligible (mostly inferior to 1%). As such, only the modal shares of road and rail transport are taken into account to breakdown tonnages data.

However, if the real modal share of the ports is known and if the share of waterways transport mode is important, this transport mode is considered⁶². The methodology used is exactly the same than for rail mode (same pieces of data are available on Eurostat for waterways).

Exception: Airports

It was considered that airports are not influenced by the presence of the strait. As such, the airports are not taken into account in the in-land transport or in the induced economical activities.

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⁶² Case of Dunkirk port